

# Sustainable Land and Water Management of River Oases along the Tarim River in Northwest China

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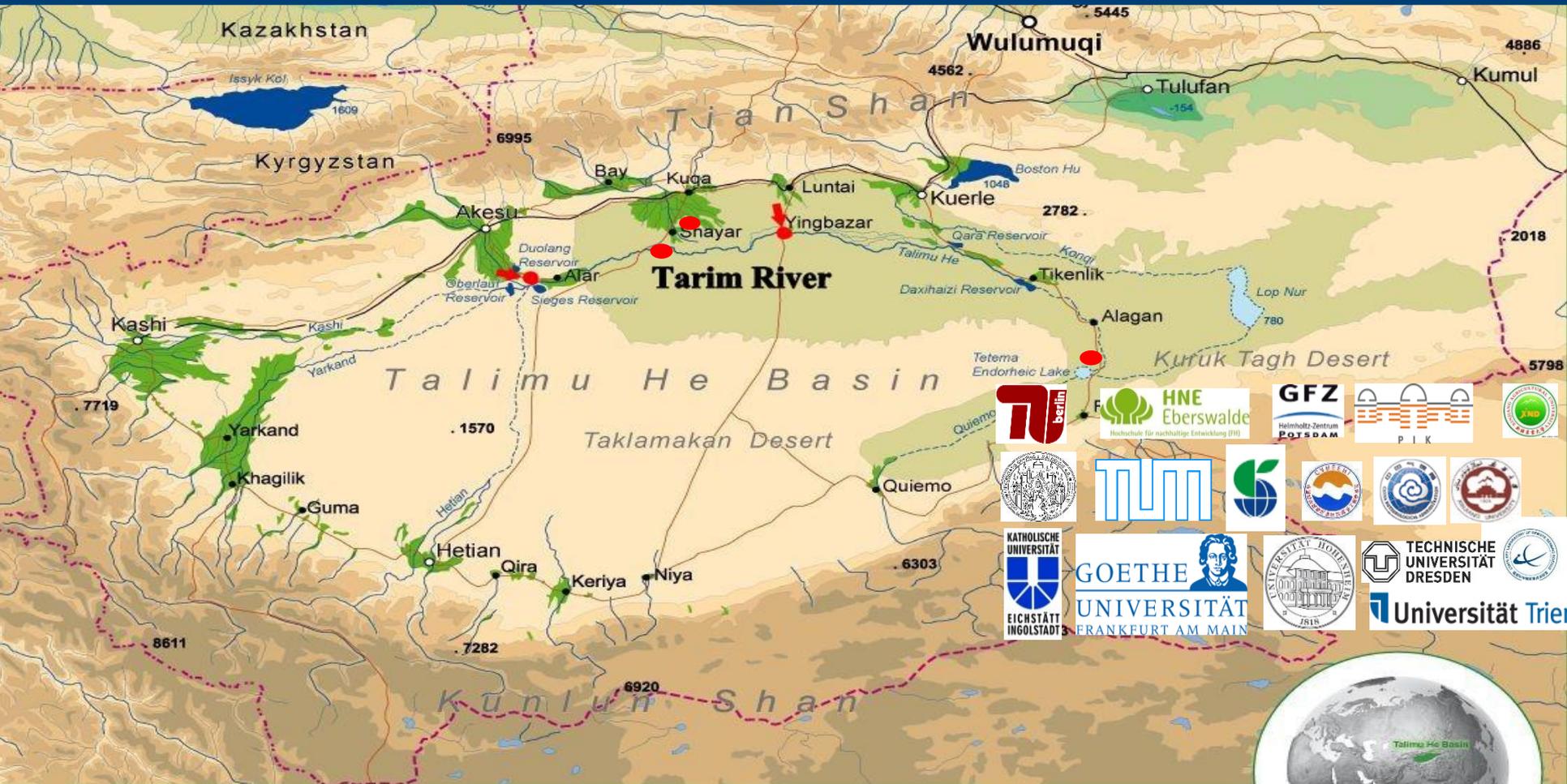
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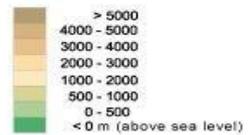
# SuMaRiO Sustainable Management of River Oasis (Tarim River)

## Research Area and Institutions



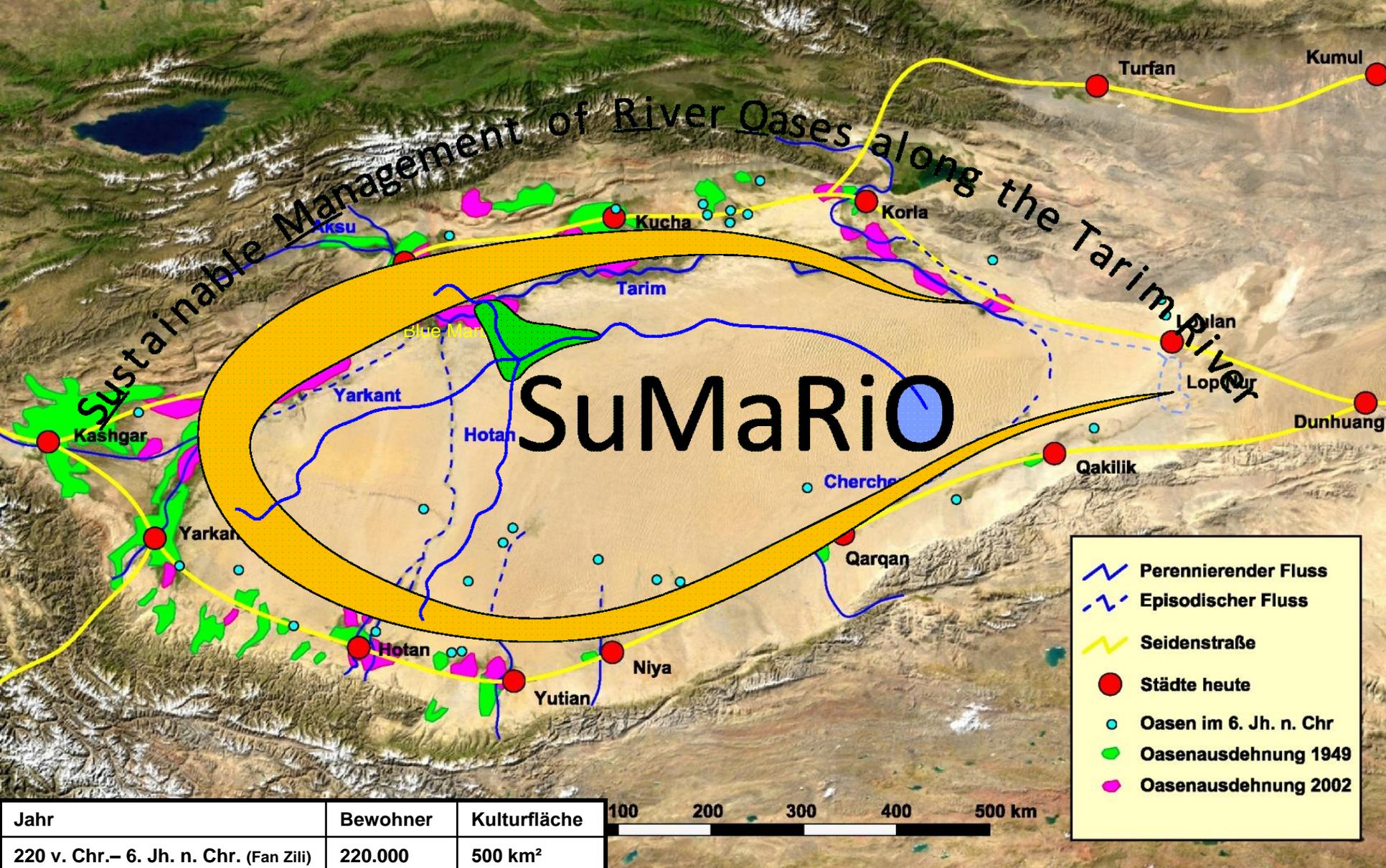
- National Border PRC
- Province Border
- Trunk Road with Settlement
- Train

- Oasis/Irrigation Land
- Freshwater/Reservoir
- Salt-water
- Desiccated Lake
- River



Logos of various research institutions and universities involved in the project, including TU Berlin, HNE Eberswalde, GFZ Helmholtz-Zentrum Potsdam, PIK, TUM, Goethe University Frankfurt am Main, Technische Universität Dresden, and Universität Trier.

0 120 240 km  
Paproth 6/2004, Additionalis: C. Pietsch, 03/2011



Jahr	Bewohner	Kulturfläche
220 v. Chr.– 6. Jh. n. Chr. (Fan Zili)	220.000	500 km <sup>2</sup>
1909 (Sven Hedin)	1.780.000	6020 km <sup>2</sup>
1949 (Fan Zili)	3.040.000	7060 km <sup>2</sup>
1980 (Niels Thevs)	6.090.000	13.300 km <sup>2</sup>
1990 (Fan Zili)	7.060.000	14.120 km <sup>2</sup>

The Sino-German project **SuMaRiO** is funded by the Federal Ministry of Education and Research in the Funding-Measure „Sustainable Land Management - GLUES“.

**Funding objective:**

“All of the research projects work on an **inter- and transdisciplinary basis** in order to overcome barriers between disciplines, to include regional and local stakeholders and to **elaborate action-oriented concepts and strategies.**”

The project consortium comprises **eleven German and nine Chinese** Universities and Research Institutions and various **Chinese Stakeholders**

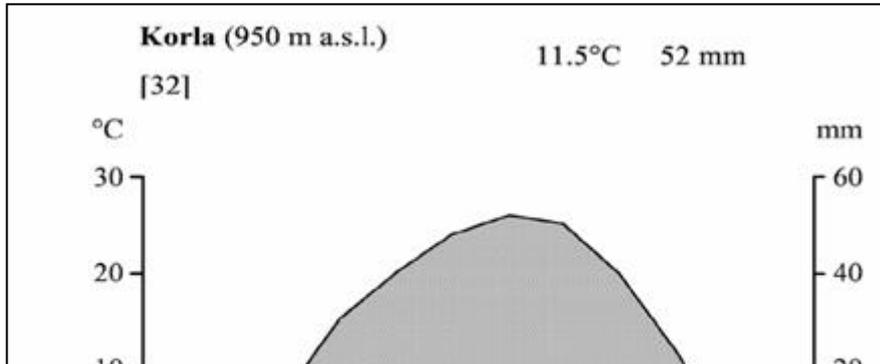
**A** »INTERACTIONS BETWEEN LAND MANAGEMENT, CLIMATE CHANGE AND ECOSYSTEM SERVICES«  
MODULE



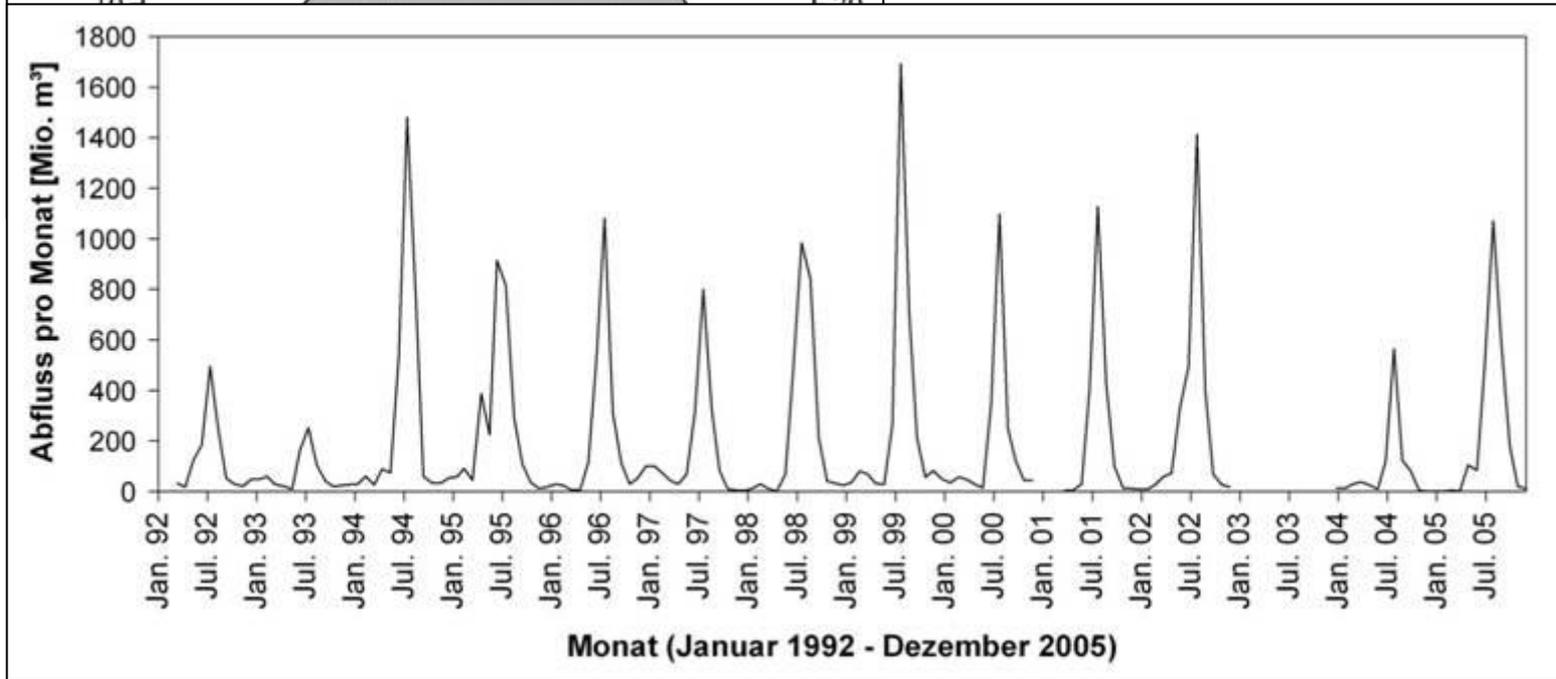
The SuMaRiO-project started in March **2011** and will end in February **2016**

Extreme arid climate ( $P_{\text{Year}} = 50\text{mm}$ )

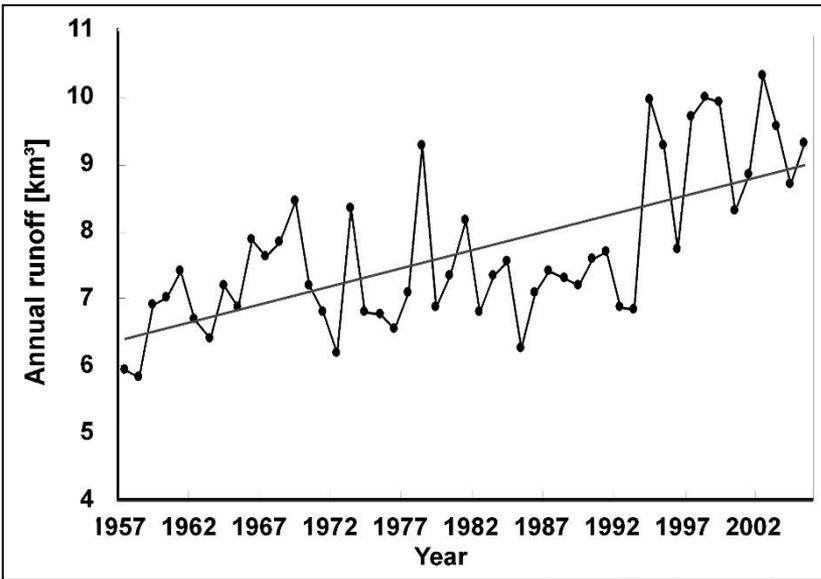
# Climate und Hydrology



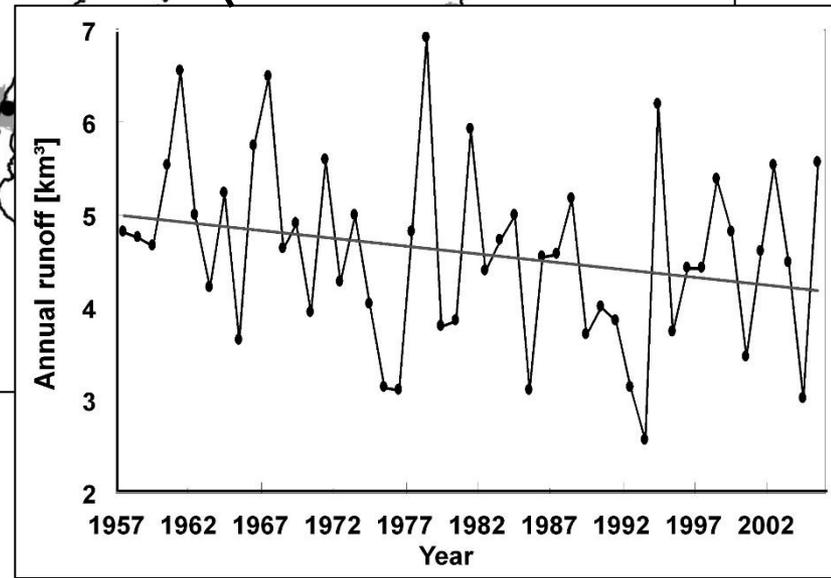
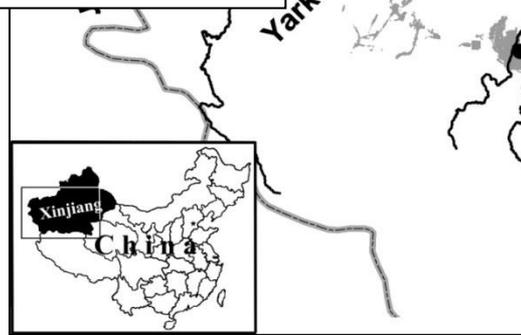
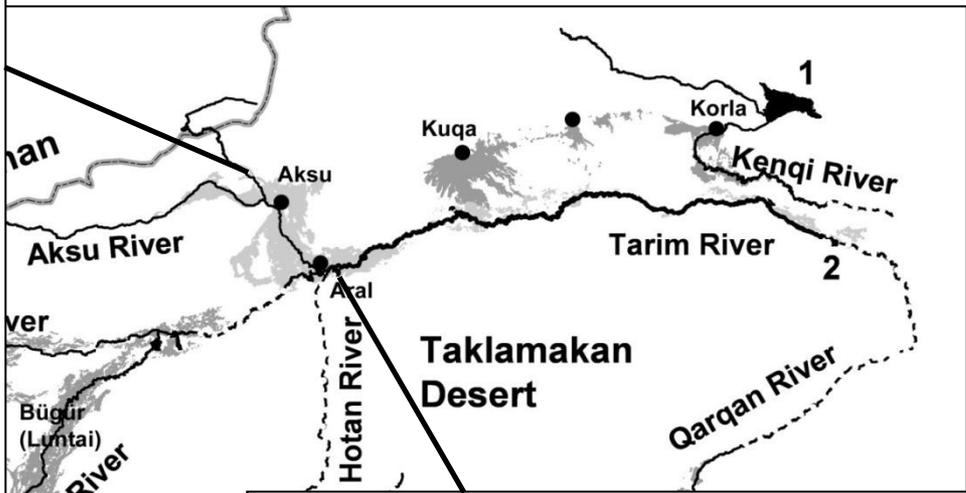
Only water resource:  
River water that originates from  
the surrounding mountains



Gauge Yengi Bazar (Tarim Watershed Administration)

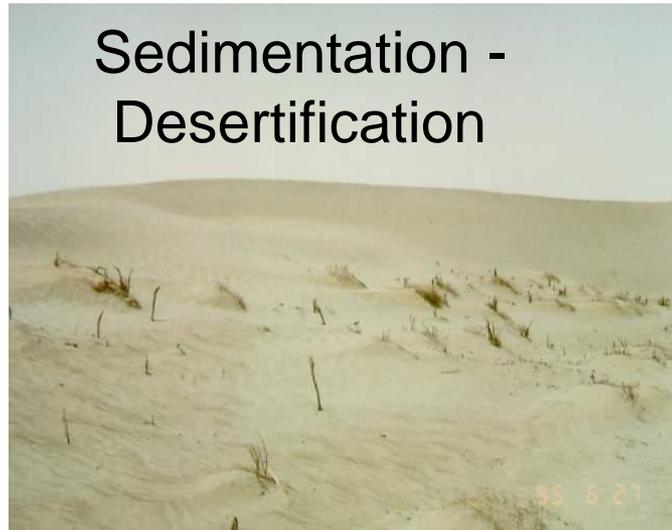


Annual runoff of Aksu River upstream of Aksu City

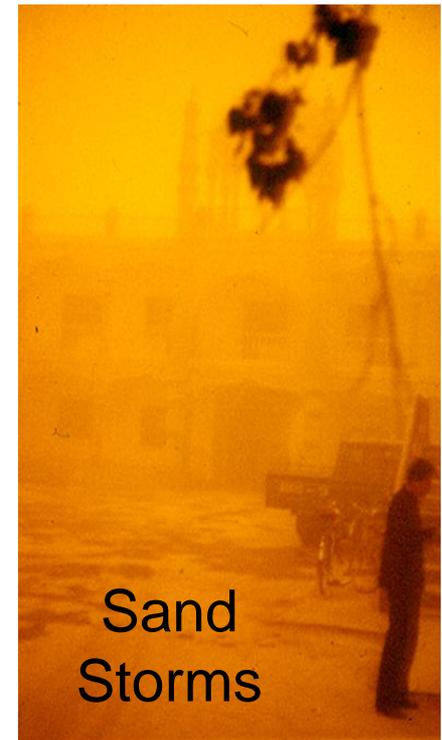


Annual runoff of Tarim River at Aral

Source: Tang, D. S.; Deng, M.J. (2010), On the Management of Water Rights in the Tarim River Basin, Beijing: Zhongguo Shili Shuidian Chubanshe



## Ecological Consequences



## Chinese Measures

- Upper reaches: water saving irrigation technologies
- Middle reaches: Channelizing of the Tarim River
  - Avoiding seepage losses
  - Decrease of evaporation

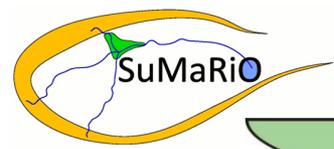


## Overall goal of SuMaRiO

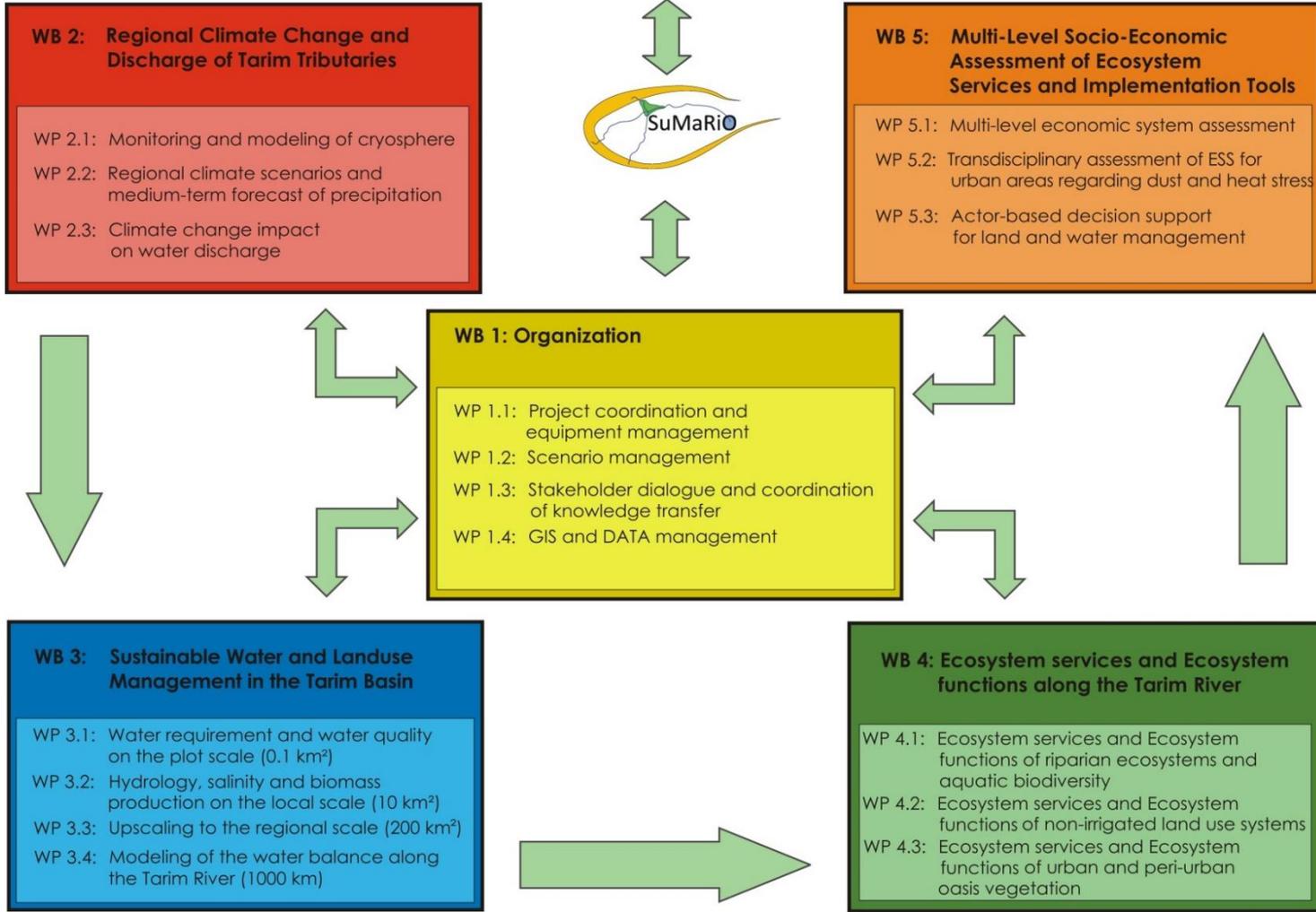
## SuMaRiO 的目标

- **To support sustainable oasis management along the Tarim River under conditions of climatic and social changes;**  
在气候变化和可能出现的社会变化的前提下支持对塔里木河河流绿洲的治理
- **To develop tools with Chinese partners that show the ecological and socio-economic consequences of their decisions in a changing world;**  
共同研发方法(德国合作伙伴同中国项目相关者一起), 以指出在变化了的世界, 人们的行为所带来的生态的以及社会经济的后果
- **To identify options for optimizing economic, ecological, and social utilities;**  
共同确认并优化经济、生态和社会的可利用性。
- **To implement sustainable land management strategies.**  
实现可持续国土治理的战略

# Project structure



**GLUES**  
Global Assessment of Land Use Dynamics on Greenhouse Gas Emissions and Ecosystem Services

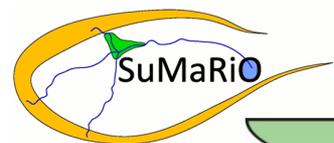


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# Main Product: SuMaRiO DSS



## GLUES Global Assessment of Land Use Dynamics on Greenhouse Gas Emissions and Ecosystem Services

**WB 2: Regional Climate Change and Discharge of Tarim Tributaries**

- WP 2.1: Monitoring and modeling of cryosphere
- WP 2.2: Regional climate scenarios and medium-term forecast of precipitation
- WP 2.3: Climate change impact on water discharge



**WB 5: Multi-Level Socio-Economic Assessment of Ecosystem Services and Implementation Tools**

- WP 5.1: Multi-level economic system assessment
- WP 5.2: Transdisciplinary assessment of ESS for urban areas regarding dust and heat stress
- WP 5.3: Actor-based decision support for land and water management



**WB 3: Sustainable Water and Landuse Management in the Tarim Basin**

- WP 3.1: Water requirement and water quality on the plot scale (0.1 km<sup>2</sup>)
- WP 3.2: Hydrology, salinity and biomass production on the local scale (10 km<sup>2</sup>)
- WP 3.3: Upscaling to the regional scale (200 km<sup>2</sup>)
- WP 3.4: Modeling of the water balance along the Tarim River (1000 km)

**WB 4: Ecosystem services and Ecosystem functions along the Tarim River**

- WP 4.1: Ecosystem services and Ecosystem functions of riparian ecosystems and aquatic biodiversity
- WP 4.2: Ecosystem services and Ecosystem functions of non-irrigated land use systems
- WP 4.3: Ecosystem services and Ecosystem functions of urban and peri-urban oasis vegetation



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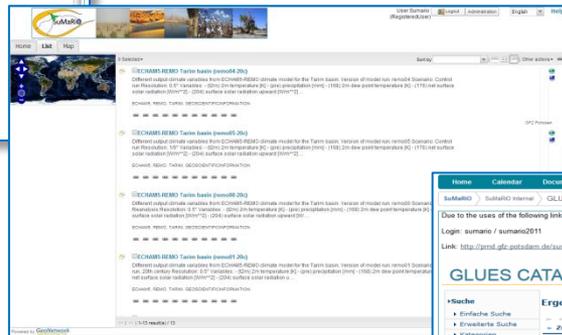
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No.	Date	Entry	File	Status	Folders
1	2013-11-05	ECHAM5-REMO Tarim basin (rem008-20c) (2013-11-05) by: Sergey Vologodtyn (s.vologodtyn)		■■■■■■■■■■	Show all datasets
2	2013-11-05	ECHAM5-REMO Tarim basin (rem007-10c) (2013-11-05) by: Sergey Vologodtyn (s.vologodtyn)		■■■■■■■■■■	
3	2013-11-05	ECHAM5-REMO Tarim basin (rem009-20c) (2013-11-05) by: Sergey Vologodtyn (s.vologodtyn)		■■■■■■■■■■	Message (0)
4	2013-11-05	ECHAM5-REMO Tarim basin (rem001-10c) (2013-11-05) by: Sergey Vologodtyn (s.vologodtyn)		■■■■■■■■■■	
5	2013-11-05	ECHAM5-REMO Tarim basin (rem004-20c) (2013-11-05) by: Sergey Vologodtyn (s.vologodtyn)		■■■■■■■■■■	
6	2013-11-05	ECHAM5-REMO Tarim basin (rem001-10c) (2013-11-05) by: Sergey Vologodtyn (s.vologodtyn)		■■■■■■■■■■	
7	2013-11-05	ECHAM5-REMO Tarim basin (rem001-20c) (2013-11-05) by: Sergey Vologodtyn (s.vologodtyn)		■■■■■■■■■■	
8	2013-11-05	ECHAM5-REMO Tarim basin (rem009-20c) (2013-11-05) by: Sergey Vologodtyn (s.vologodtyn)		■■■■■■■■■■	
9	2013-08-19	Soil Xinjiang (2003-01-01) by: Matthias Schroeder (m.schroeder)		■■■■■■■■■■	
10	2013-08-19	Agriculture Tarim (2013-08-19) by: Dr. Alex Thone (a.thone)		■■■■■■■■■■	
11	2013-08-19	Land cover (2013-08-19) by: Matthias Schroeder (m.schroeder)		■■■■■■■■■■	
12	2013-08-21	Digged Wells (2013-08-19) by: Patrick Kieholz (p.kieholz)		■■■■■■■■■■	

## Web-GIS solution



## Metadata Search (GeoNetwork)



## Metadata search via GLUES



### WB 1: Organization

- WP 1.1: Project coordination and equipment management
- WP 1.2: Scenario management
- WP 1.3: Stakeholder dialogue and coordination of knowledge transfer
- WP 1.4: GIS and DATA management

Matthias Schroeder, GFZ



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## WB 2: Regional Climate Change and Discharge of Tarim Tributaries

- WP 2.1: Monitoring and modeling of cryosphere
- WP 2.2: Regional climate scenarios and medium-term forecast of precipitation
- WP 2.3: Climate change impact on water discharge

M.W. Aug 2012



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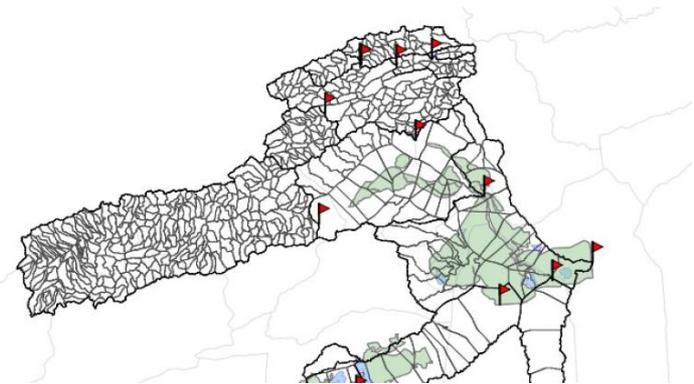


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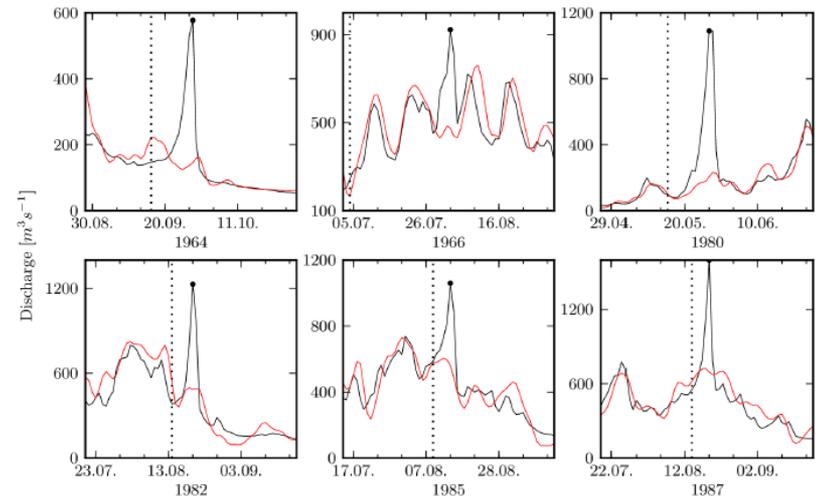
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## The upper Tarim SWIM model

- Catchment and model domain terminate at Arla station (S11), the 'interface' to the main stream Tarim
- Drainage area excluding desert depression and Kashgar R.: ca. 184'567km<sup>2</sup>
- 6 headwaters, 4 separate oasis zones with downstream stations (upper/lower Aksu, Hotan, Yarkant)
- Catchment divided into 1489 subbasins (river sectors in the desert)
- Unique combinations of subbasins, landuse, soils and elevation zones form 109'969 hydrotopes



### Unrepresented summer peaks (GLOFs)



(Wortmann et al., 2013; Glazirin, 2010)



Michel Wortmann, PIK



Michel Wortmann et al. 2013

07/12/13

Page 16

- In situ description according to FAO guidelines (2006)
- Assessment & analysis of the chemical and physical soil properties



**WB 3: Sustainable Water and Landuse Management in the Tarim Basin**

WP 3.1: Water requirement and water quality on the plot scale (0.1 km<sup>2</sup>)

WP 3.2: Hydrology, salinity and biomass production on the local scale (10 km<sup>2</sup>)

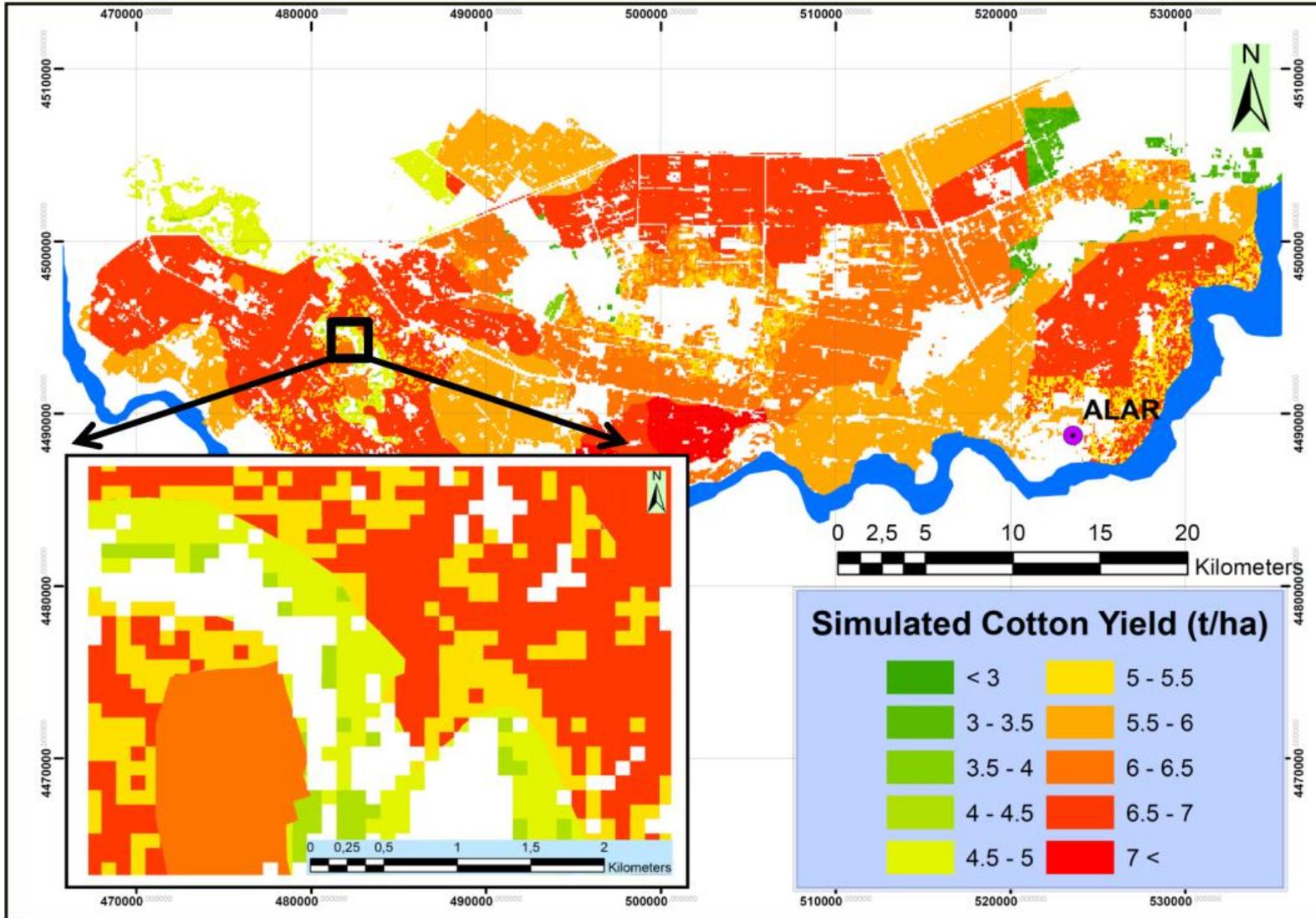
WP 3.3: Upscaling to the regional scale (200 km<sup>2</sup>)

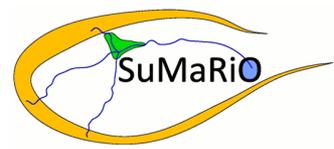
WP 3.4: Modeling of the water balance along the Tarim River (1000 km)



Karl Stahr, Univ. Hohenheim







# Spatial distributed modeling of groundwater recharge and impact of land use and climate change at Yengibazar (Tarim River middle reach)



Ingenieurfacultät Bau Geo Umwelt



Lehrstuhl für Hydrologie und Flussgebietsmanagement

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Auswirkungen von veränderter Landnutzung auf den Wasserhaushalt und die Auwaldvitalität in einer Flussoase am Tarim (China)

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Patrick G. Keilholz

Vollständiger Abdruck der von der Ingenieurfacultät Bau Geo Umwelt der Technischen Universität München zur Erlangung des akademischen Grades eines

Doktor-Ingenieurs (Dr.-Ing.)

genehmigten Dissertation.

Vorsitzender: Univ.-Prof. Dr. sc. tech. Peter Rutschmann

Prüfer der Dissertation:

1. Univ.-Prof. Dr.-Ing. Markus Disse
2. Univ.-Prof. Dr. rer. nat. Bernd Cyffka;  
Katholische Universität Eichstätt-Ingolstadt

Die Dissertation wurde am 13. Mai 2014 bei der Technischen Universität München eingereicht und durch die Ingenieurfacultät Bau Geo Umwelt am 31. August 2014 angenommen.

**Dissertation of Patrick Keilholz,  
TUM (in German)**

**Download:**

**[http://www.hydrologie.bgu.tum.de/  
index.php?id=88](http://www.hydrologie.bgu.tum.de/index.php?id=88)**



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## Research questions

- **Groundwater recharge**

Is it possible to quantify different water sources (floodplains, irrigation areas and Tarim River leakage) which contribute to groundwater recharge?

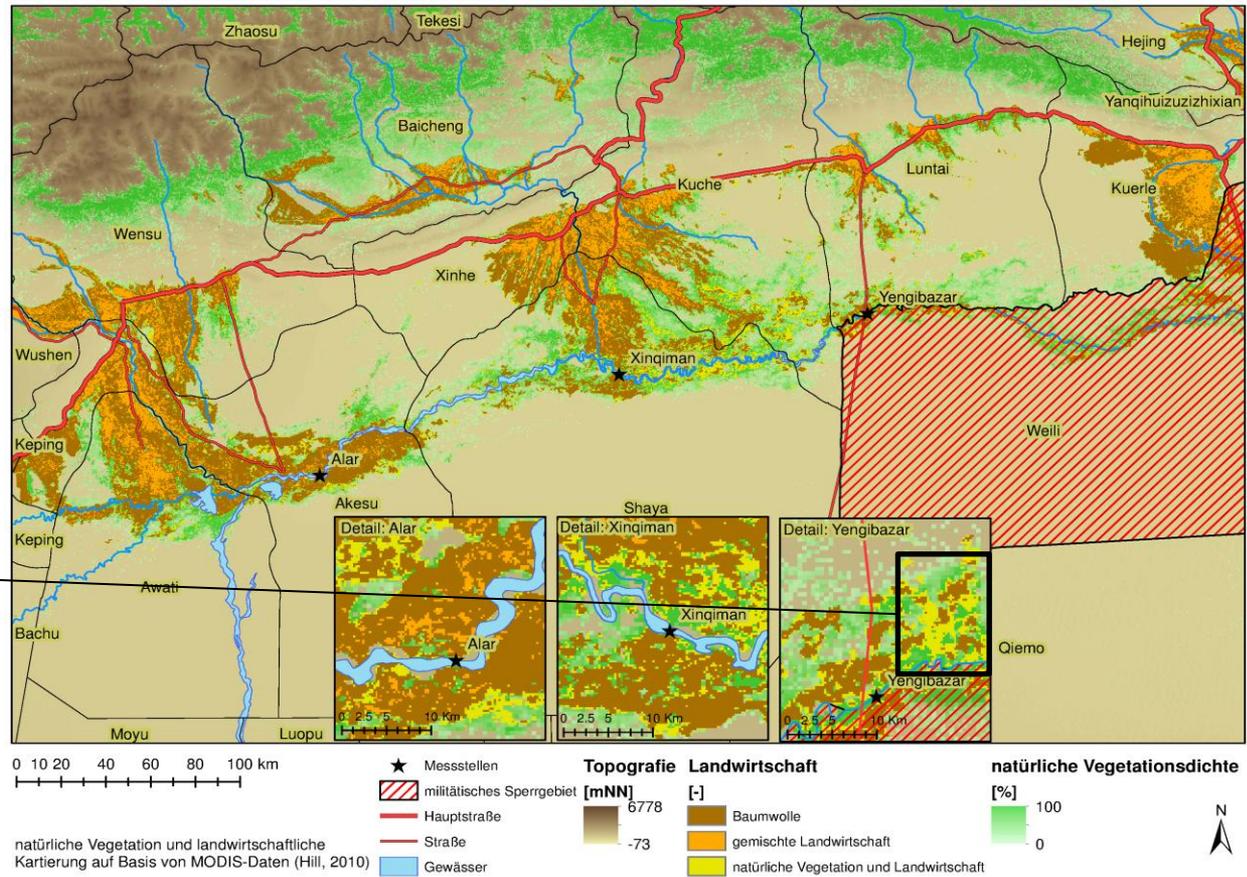
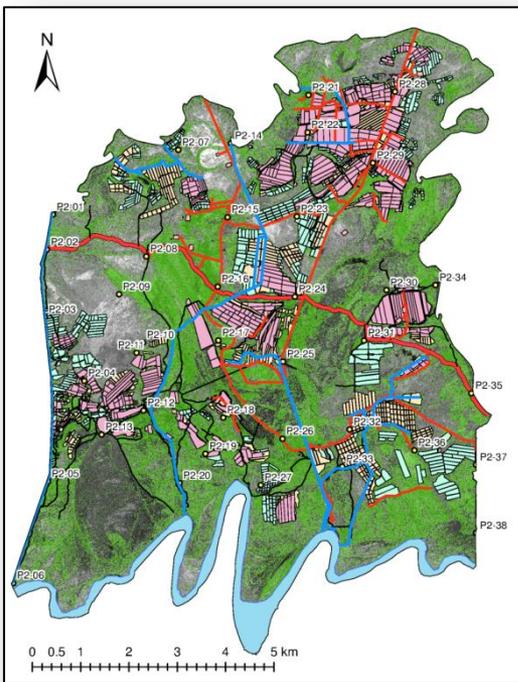
- **Influence of irrigation areas to the Tugai-vegetation**

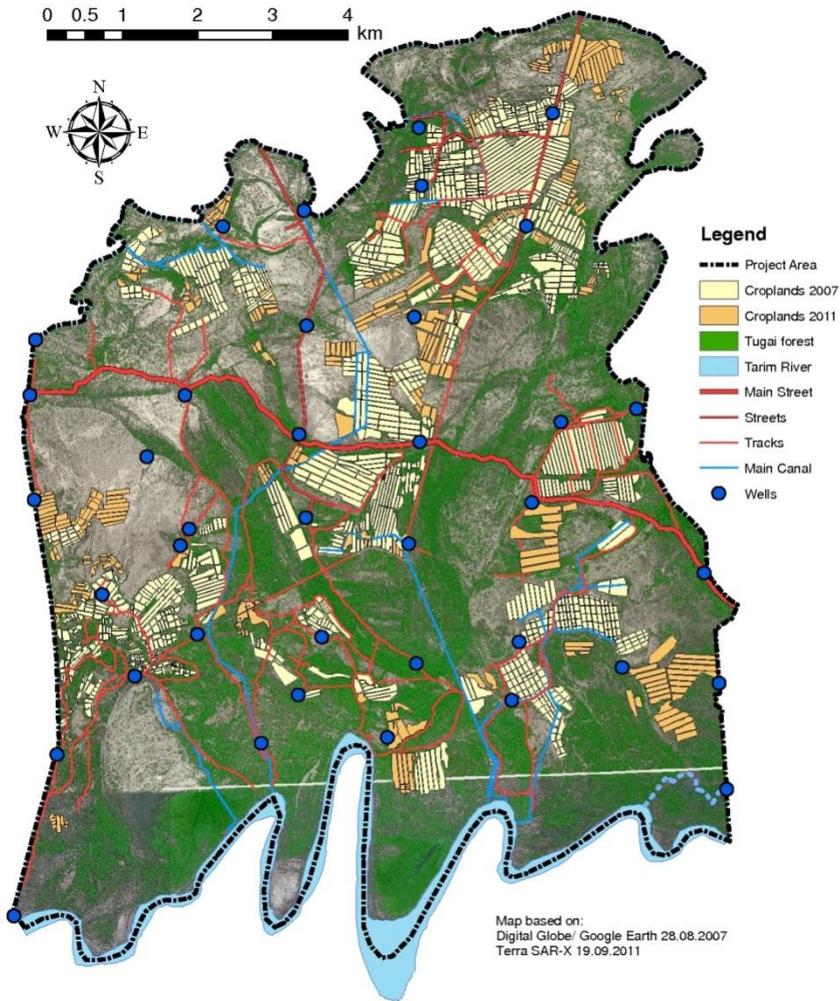
How do the irrigation areas effect the adjacent natural vegetation?

- **Climate and land use changes**

What is the impact of land use and climate change to agriculture and natural vegetation?

- Natural floodplains with direct connection to Tarim-River
- Changing patterns of agriculture and natural vegetation
- Tarim-gauging station





- area (80 km<sup>2</sup>) located in the Tarim Populus Euphratica National Forest Park
- land use systems:
  - agriculture
  - natural Tugai-forests
  - desert vegetation
- large natural floodplains
- dramatic land use change (cotton fields)

YEARS	AREA (km <sup>2</sup> )	EVOLUTION (%)
2004	11.1651	
2007	14.3025	28.10%
2011	19.4219	73.95%
2012	21.2190	90.05%
2013	25.4196	127.67%

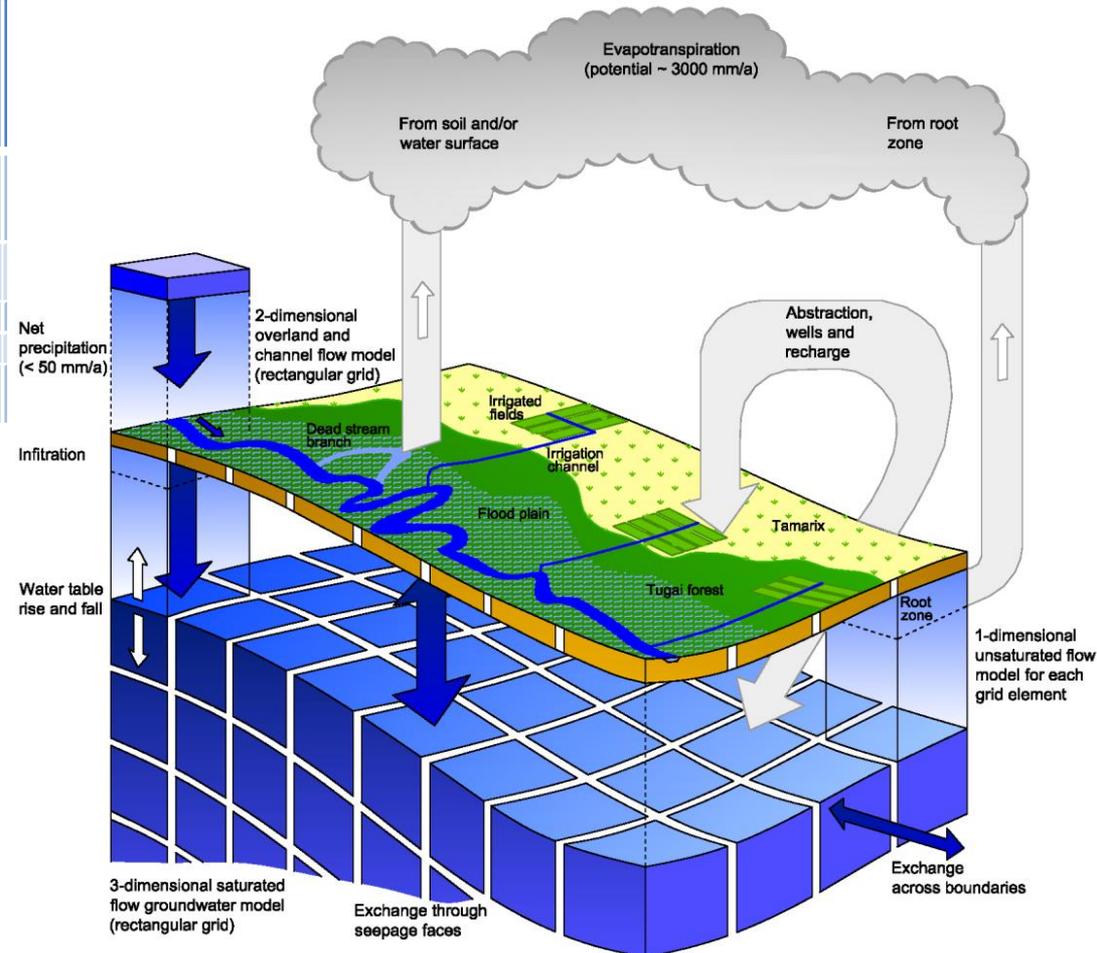
- 2-dimensional surface runoff
- Irrigation management
- Evapotranspiration
- Unsaturated and saturated soil water processes



# Hydrological models

Hydrologischer Prozess		Hydro-Geosphere	MIKE SHE
Oberflächenabfluss	1D-Gewässernetz 2D-Diffusionswelle 2D-Kinematische Welle	2D X -	MIKE 11 X -
Evapotranspiration	Penman-Monteith andere Ansätze	X X	Input
ungesättigte Bodenzone	Richards-Gleichung	3D	1D
Bewässerung		-	X
gesättigte Bodenzone	finite Elemente finite Differenzen	3D	3D

\*basierend auf der Richards-Gleichung durch angenäherte Funktion



## Choice: MIKE SHE

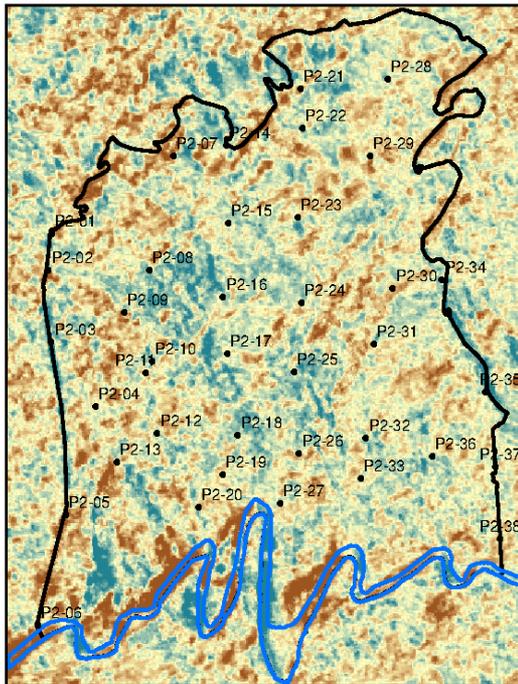
- Modelling 2d-surface water (diffusive wave)
- Irrigation module

## Required input data

Data set	Remote sensing	Measurements in research area
<b>Digital elevation model (DEM)</b>	World View 1 & 2 DigitalGlobe 8 x 8 m	In field correction points
<b>Groundwater level</b>		38 groundwater gauging stations with data logger (Temperature, Water level and electric conductivity)
<b>Tarim discharge</b>		Gauging station Yengibazar (1992-2005) and own measurements since Dec. 2011
<b>Floodplains</b>	TerraSAR-X Spot Mode 1x1 m	11 data logger in the floodplains
<b>River cross sections</b>	World View 1 Satellite image	Photogrammetric images
<b>Soil model</b>		38 drilling cores until the saturated zone
<b>Climate data</b>	Precipitation: TRMM	Climate station Yengibazar (Jun. – Nov. 2012) Climate station Kucha
<b>Natural vegetation</b>	- Satellite image World View 1 (NDVI/EVI) - MODIS LAI	Mapping in field
<b>Irrigation</b>		Interviews with farmers

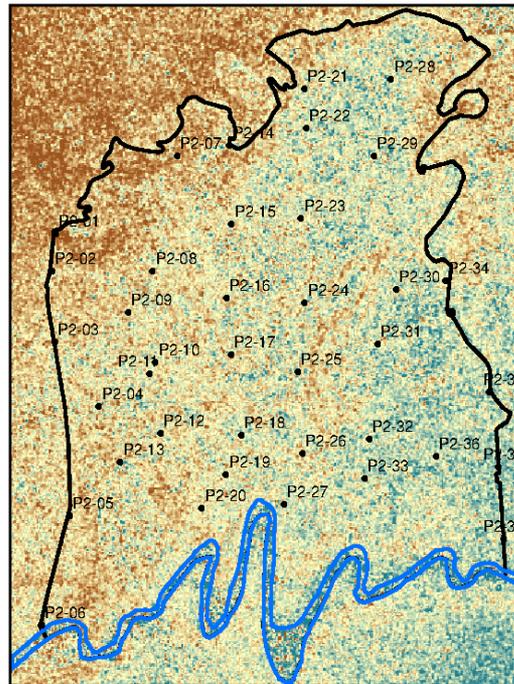
# Digital elevation model

ASTER



cell size: 30 x 30 m

SRTM-1



cell size: 26 x 26 m

Digital Globe

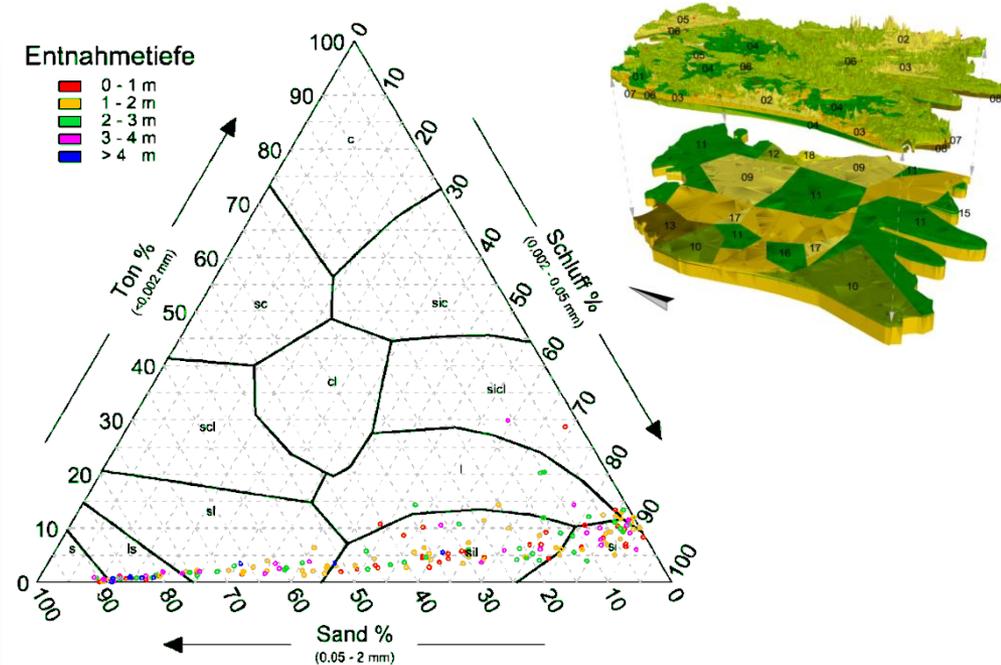


cell size: 8 x 8 m

# Groundwater levels & digital soil model

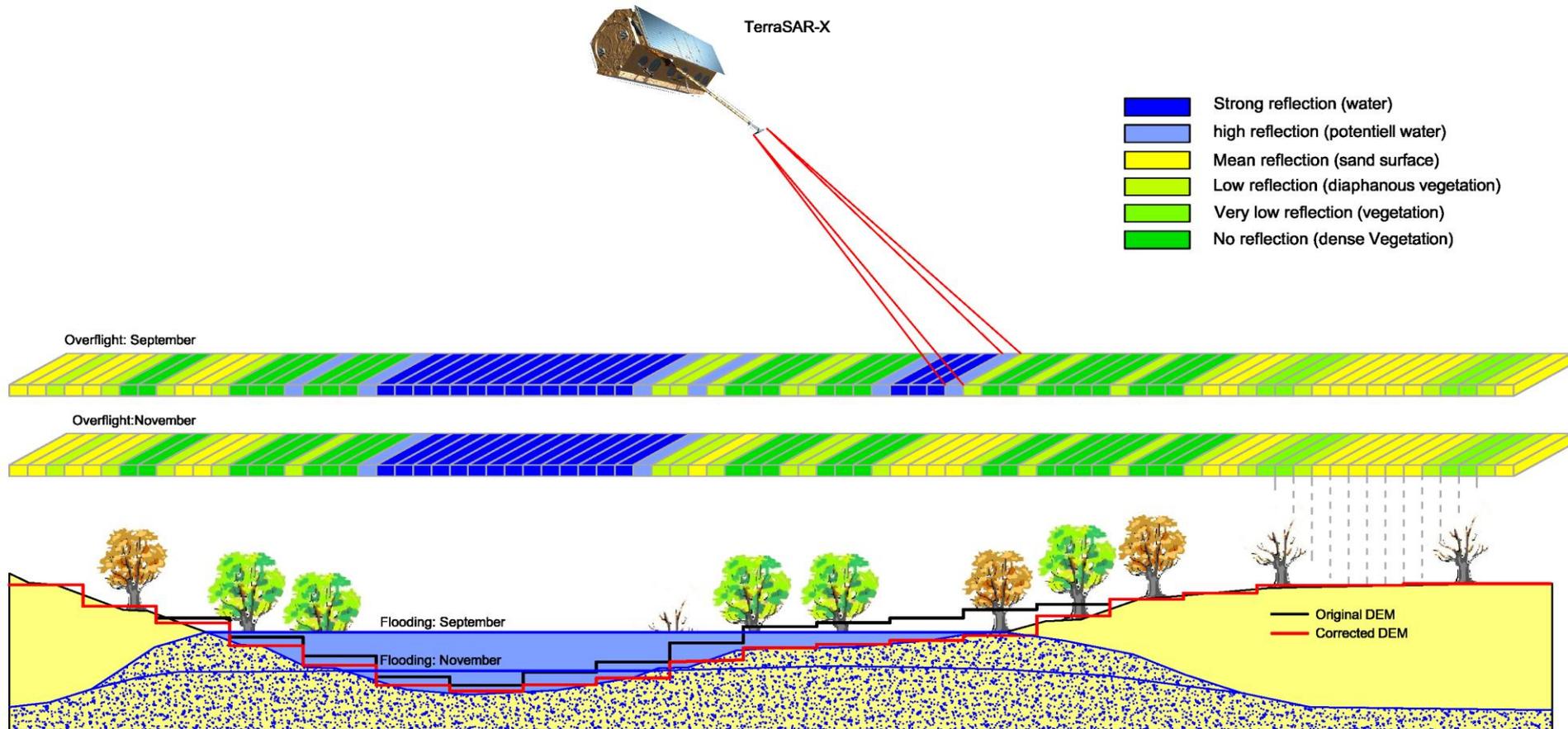


Drilling of 38 gauging stations with automatic data loggers



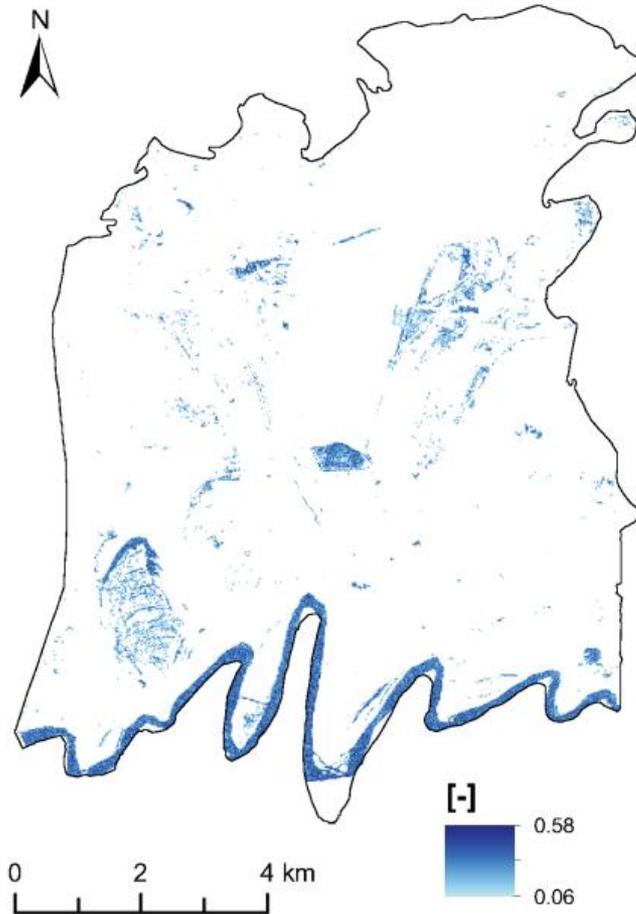
Analyzing 38 drilling cores with overall 172 soil samples

- Grain size
- Organic content
- Electrical conductivity
- Porosity

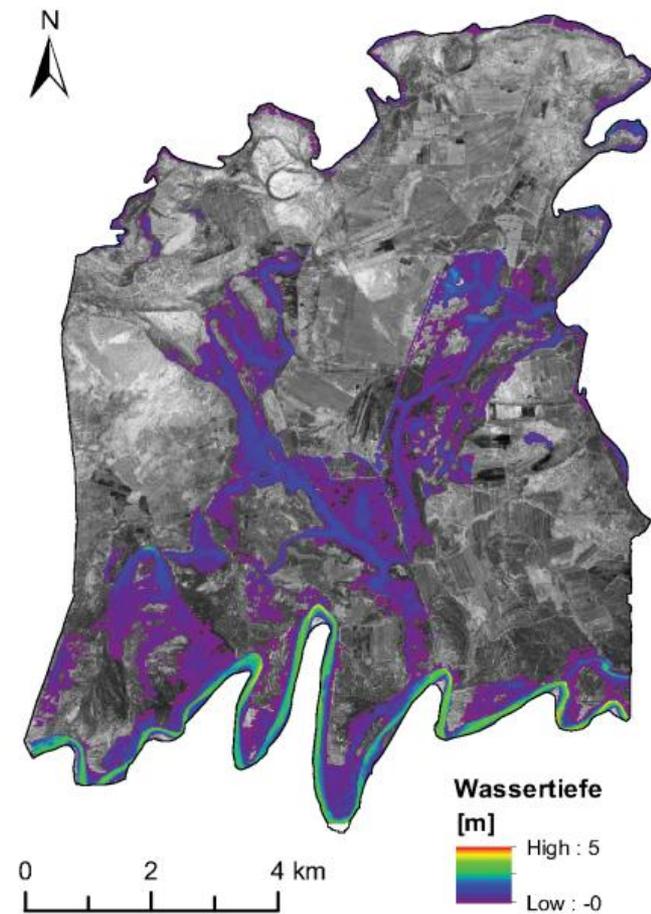


# TerraSAR-X flood maps before and after correction

TerraSAR-X raw data

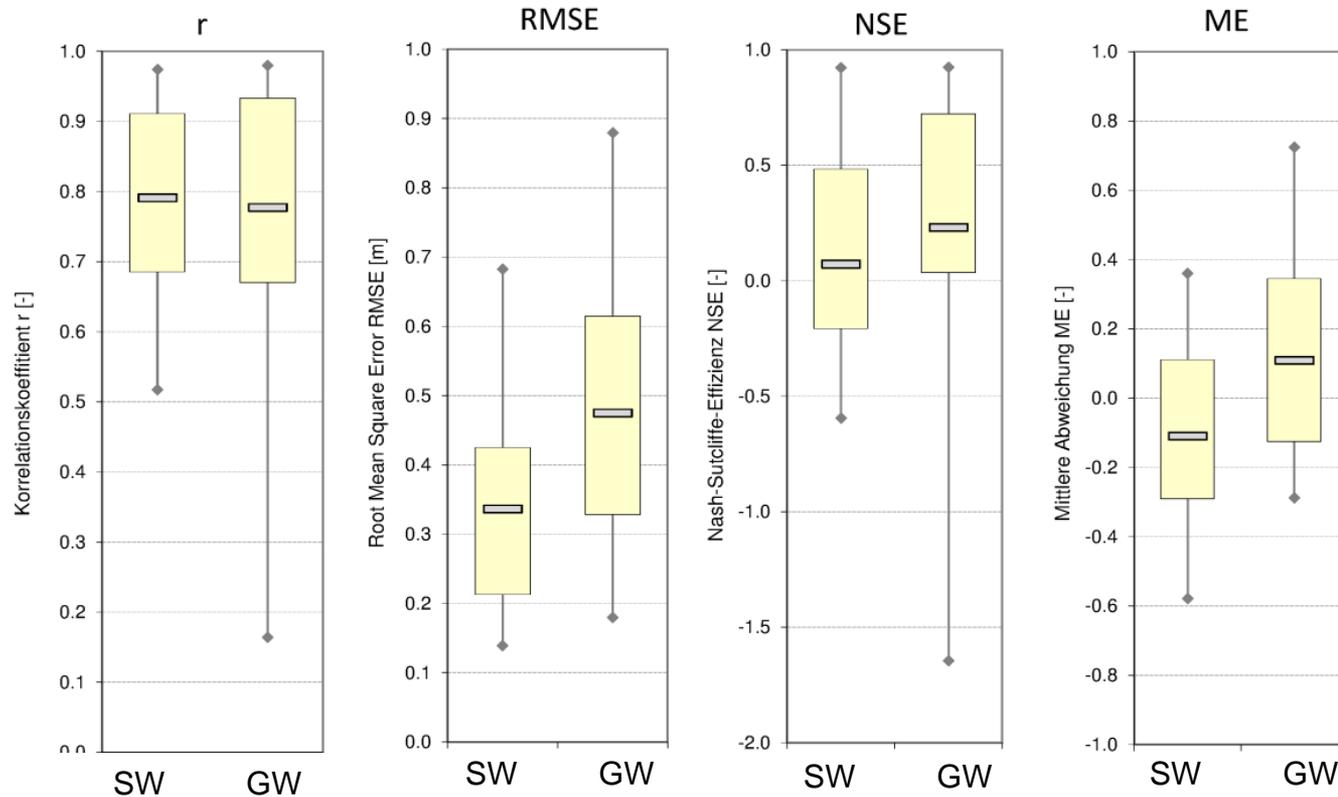


Calculated inundation areas



# Calibration for the year 2012

1. Surface water (SW): Changing the topography and the hydraulic resistance (kst)
2. Ground water (GW): Choice of a fitting pedo-transfer-function and the hydraulic conductivity



Nov. 2011

Mai 2013

P2-09



P2-10

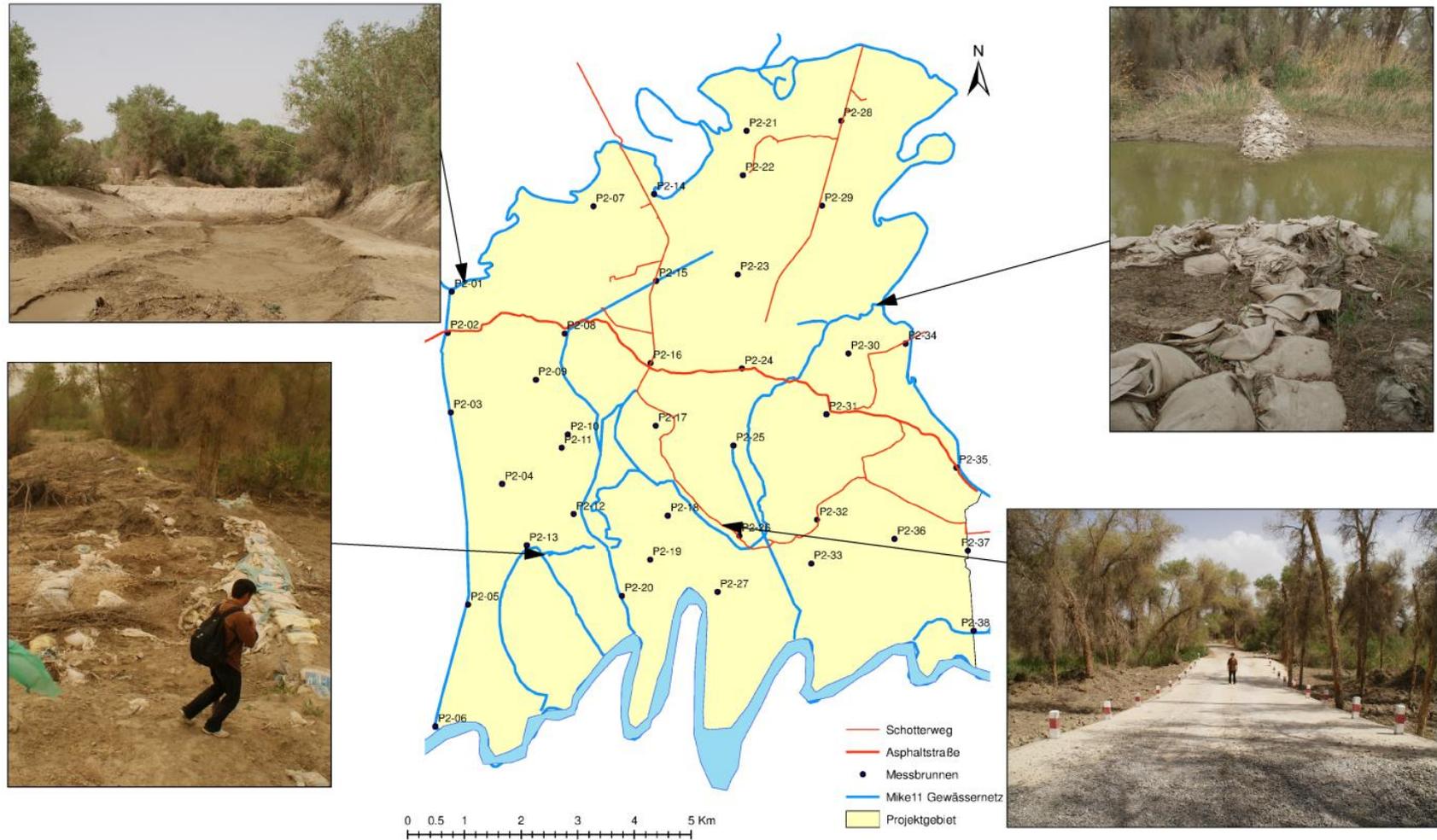


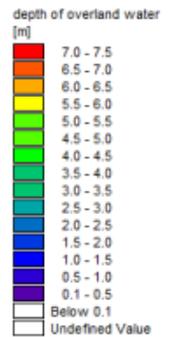
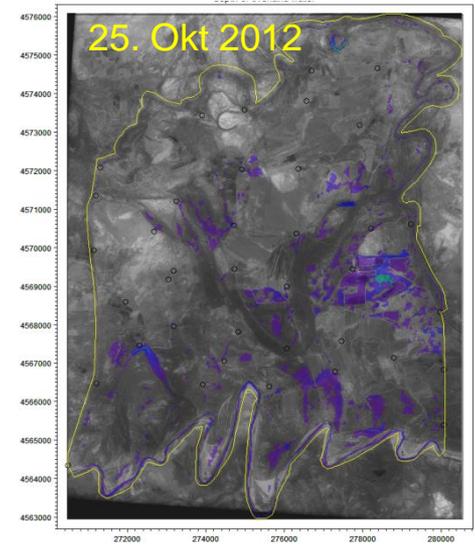
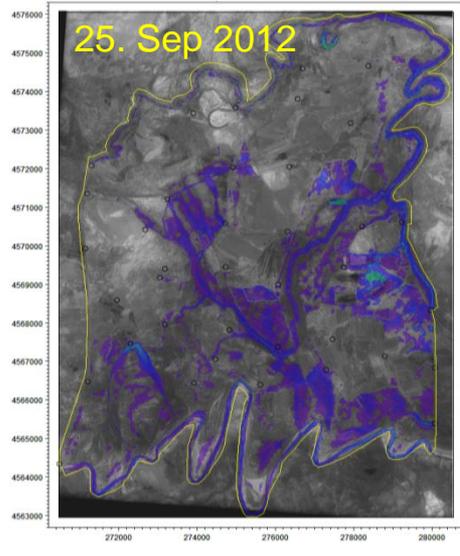
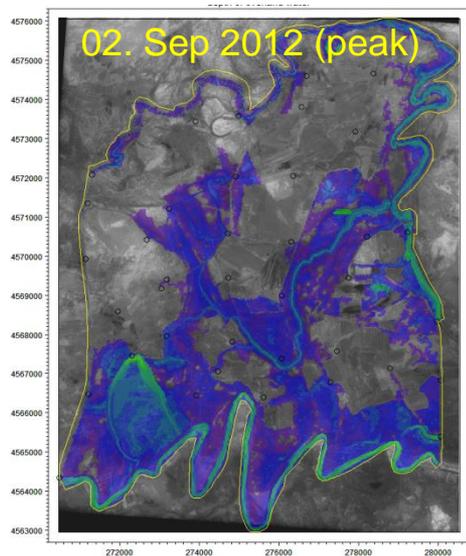
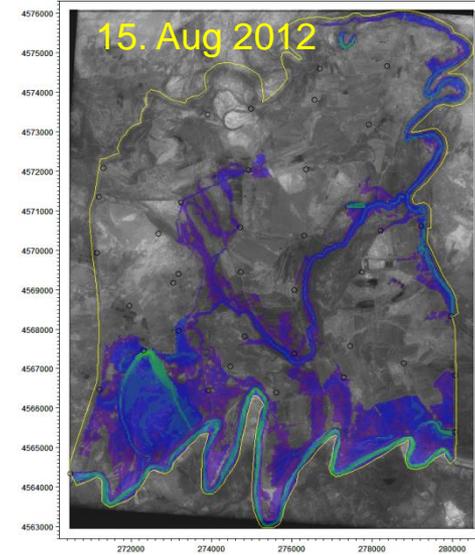
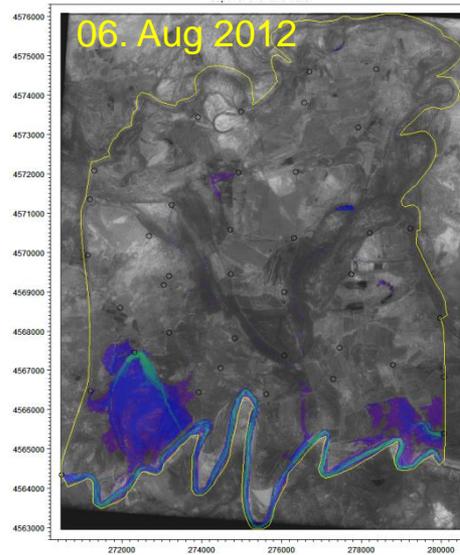
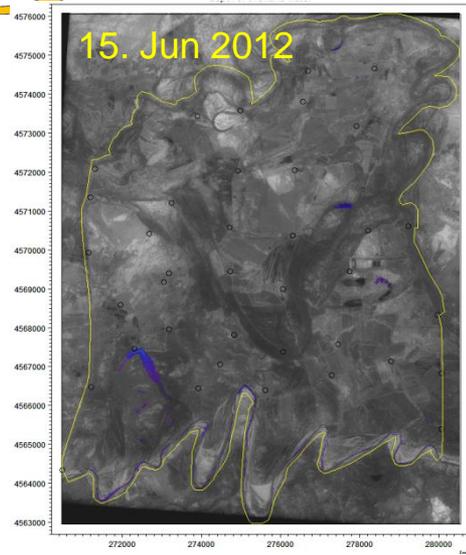
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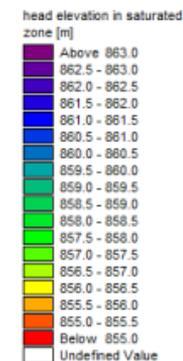
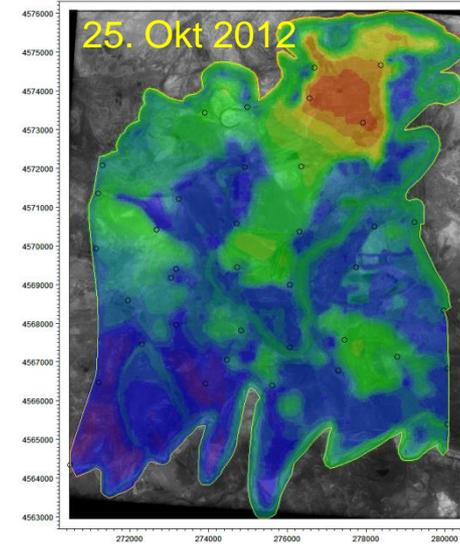
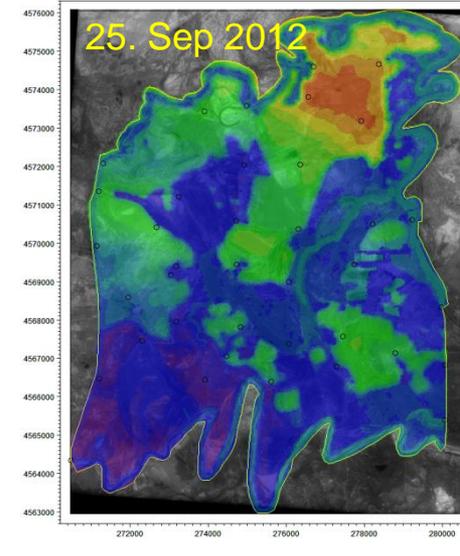
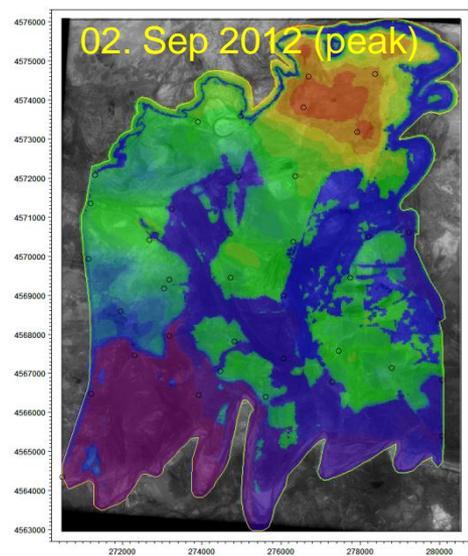
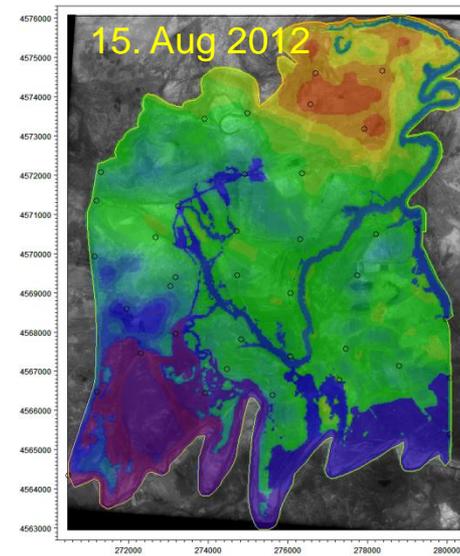
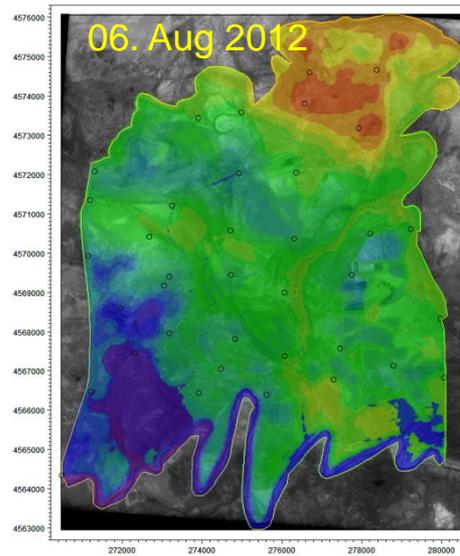
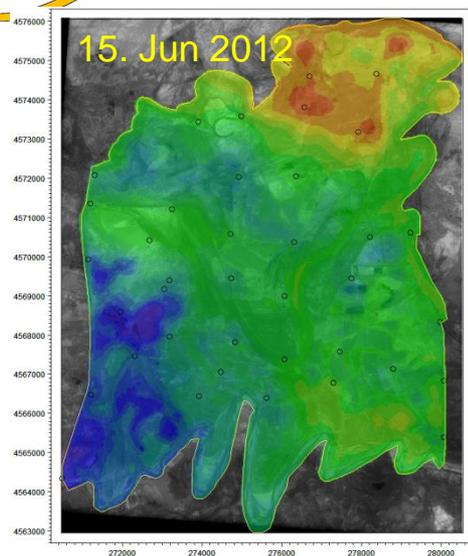


- 8 gauging stations have been destroyed by new field reclamation since 2011
- For the validation only 22 gauging wells can be used

# Local changes in the irrigation system







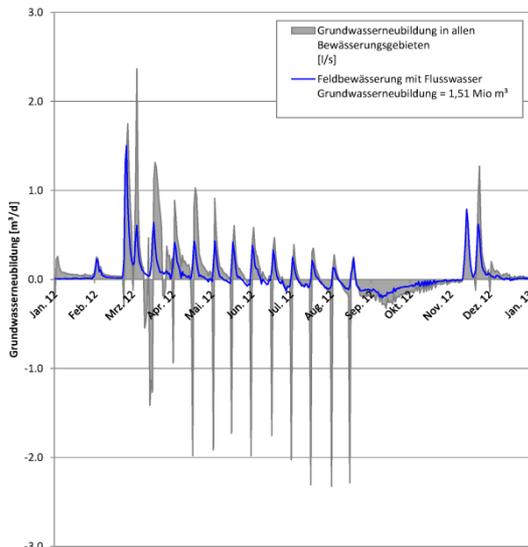
## Groundwater recharge in the research area for the year 2012

Region	Area [km <sup>2</sup> ]	Water volume [Mio. m <sup>3</sup> ]	Groundwater recharge in overall area [mm/a]	Part [%]	Part of the positive recharge [%]
Desert area	24.3	-1.96	-23	-16.5	0.0

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Irrigation areas	21.2	1.21	14	10.2	8.7
- Use of ground- & river water	(12.0)	(-0.30)	(-4)	(-2.5)	
- Only river water	(9.2)	(1.51)	(18)	(12.7)	

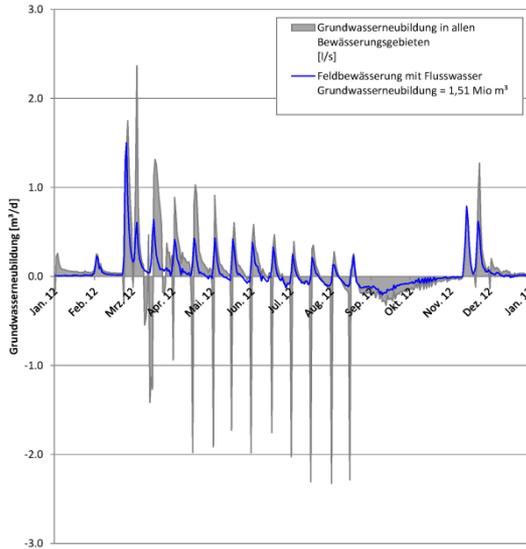
## Irrigation areas



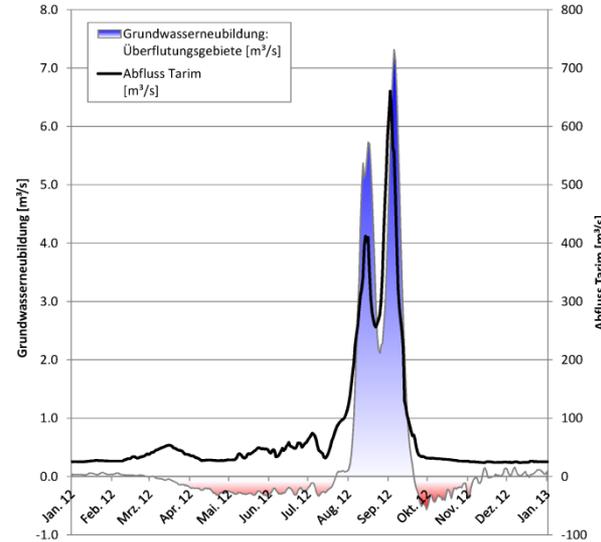
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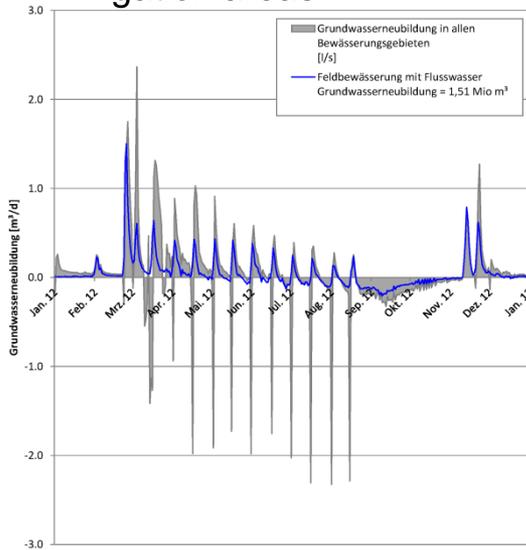
### Flood plains



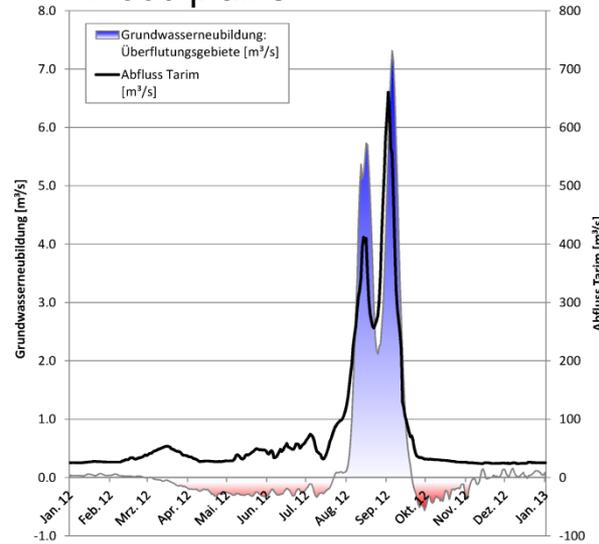
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Leakage from Tarim-River	1.9	1.06	12	8.9	7.5
<b>Sum:</b>	<b>85.3</b>	<b>11.86</b>	<b>139</b>	<b>100.0</b>	<b>100.0</b>

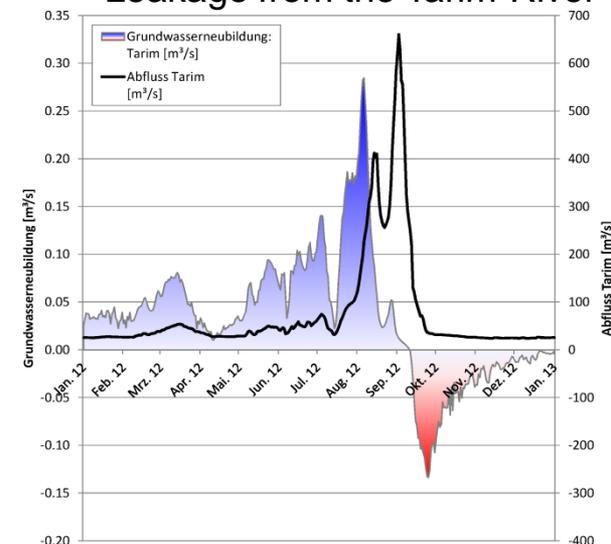
### Irrigation areas



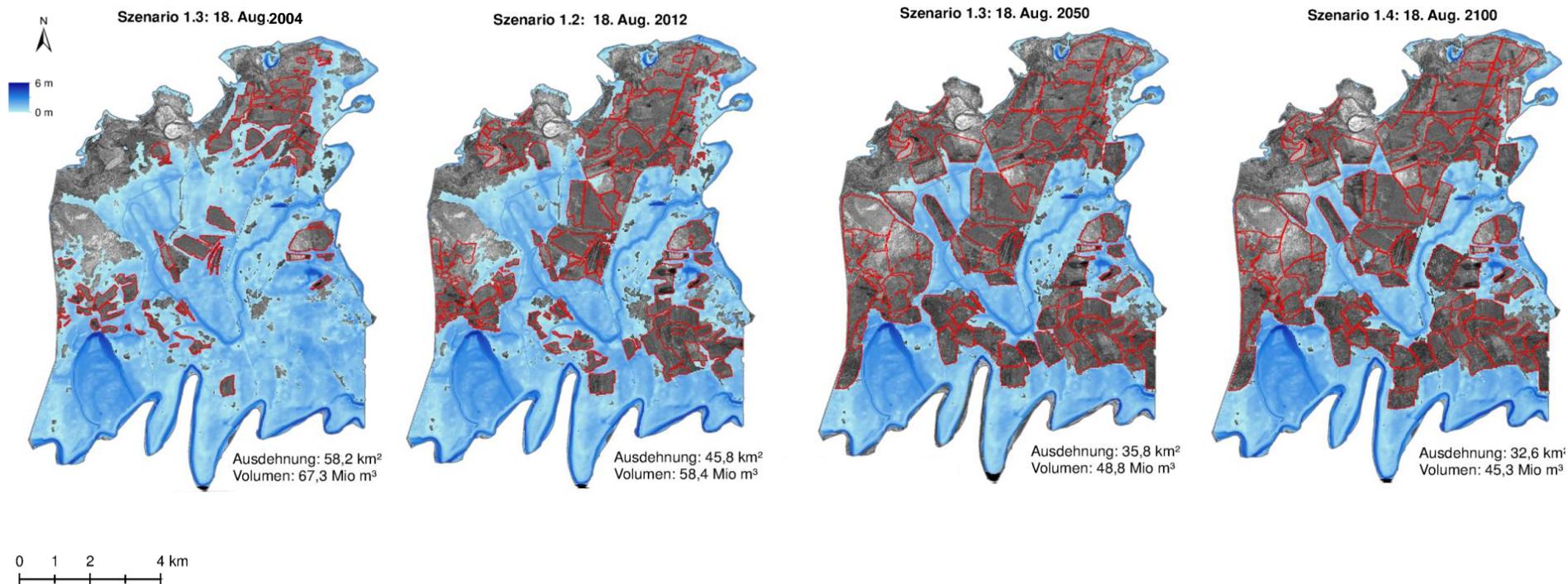
### Flood plains



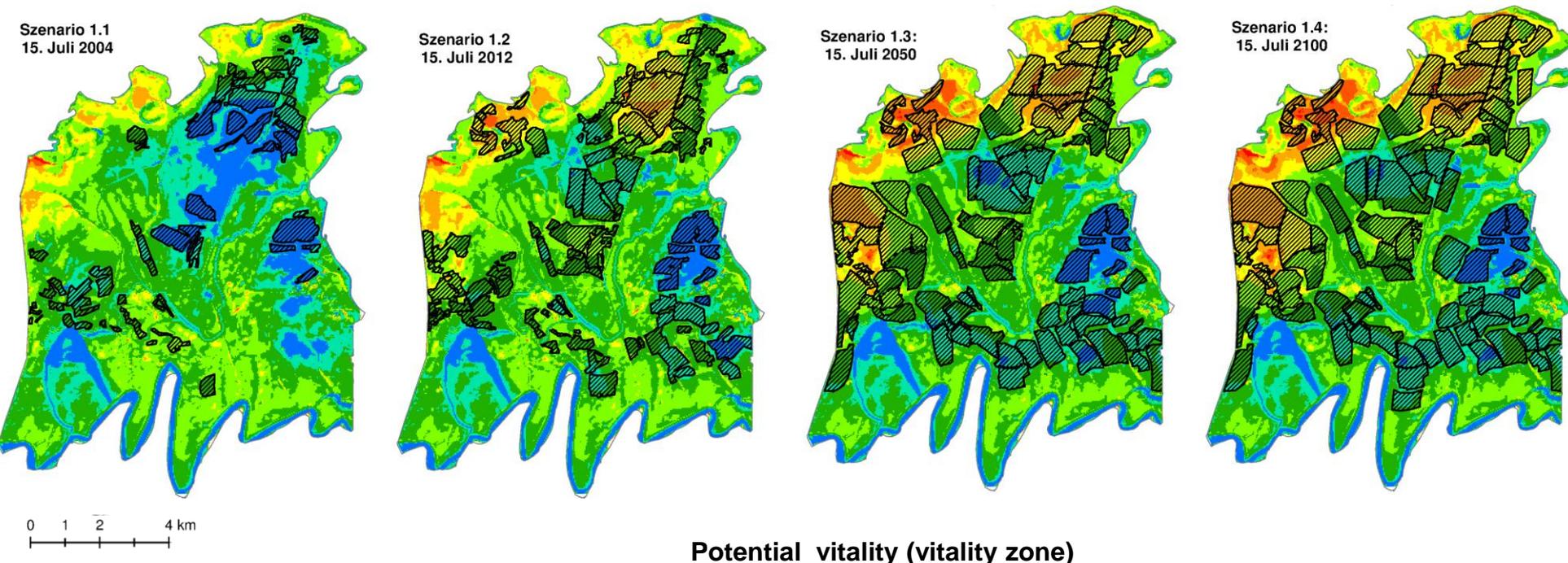
### Leakage from the Tarim-River



# Changes in flooding by land use changes



# Effects to the vitality of natural vegetation by land use changes

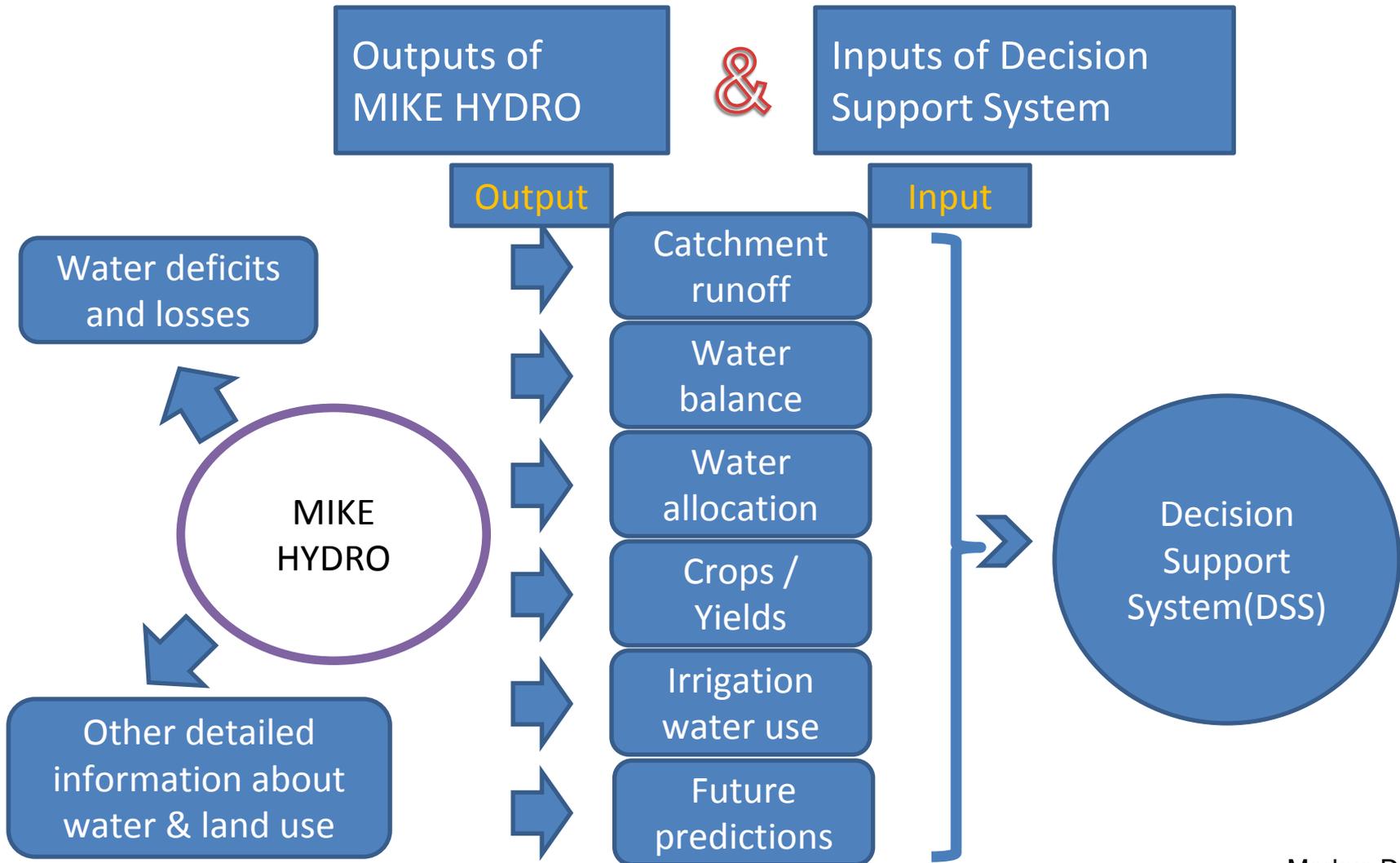


☒ Bewässerungsgebiete

## Potential vitality (vitality zone)

- optimale Lebensbedingungen für Feuchtgebietsvegetation (Gw-Flurabstand: 0 m bis -2 m)
- optimale Lebensbedingungen für Tugai-Vegetation (Gw-Flurabstand: -2 m bis -4 m)

- Gefährdungsbereich für Pappelvegetation (Gw-Flurabstand: -6 m bis -4 m)
- Gefährdungsbereich für Wüstenvegetation (Gw-Flurabstand: -10 m bis -6 m)

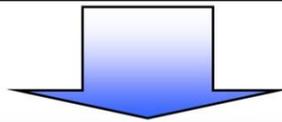


Markus Disse, TUM

# Ecosystem Services Research

**Climate in the mountains (Pamir, Hindukush, Tianshan)**  
**Glacier melt and precipitation**

**Water source**



**River runoff into the lowlands (Aral Sea Basin, Tarim Basin)**

**Water transport**

**Riparian forest conservation**  
 Carbon sequestration  
 Cooling of local climate  
 Wind protection  
 Sand fixation  
 Fuel wood  
 Recreation

**Grassland conservation and utilization**  
 Carbon sequestration  
 Cooling of local climate  
 Raw material for paper production and construction, fibres, medicinal applications, energy supply  
 Grazing

**Irrigation agriculture (cotton, wheat)**  
 Crop yields  
 Yields of the by-products (e.g. oil and fodder from cotton seeds)

**Water consumers:**  
**Ecological services and economic benefits generated through water consumption**



**Ecological services**  
**Economic benefit**

**WB 4: Ecosystem services and Ecosystem functions along the Tarim River**

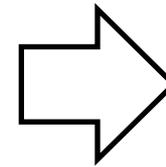
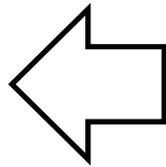
WP 4.1: Ecosystem services and Ecosystem functions of riparian ecosystems and aquatic biodiversity  
 WP 4.2: Ecosystem services and Ecosystem functions of non-irrigated land use systems  
 WP 4.3: Ecosystem services and Ecosystem functions of urban and peri-urban oasis vegetation

**Source: Niels Thevs, Institute of Botany and Landscape Ecology Ernst-Moritz-Arndt-University Greifswald**

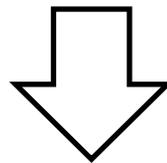
# Utilization of *Apocynum* (Lop Kendir)

## Ecosystem Services Research

Stem:  
Fibres



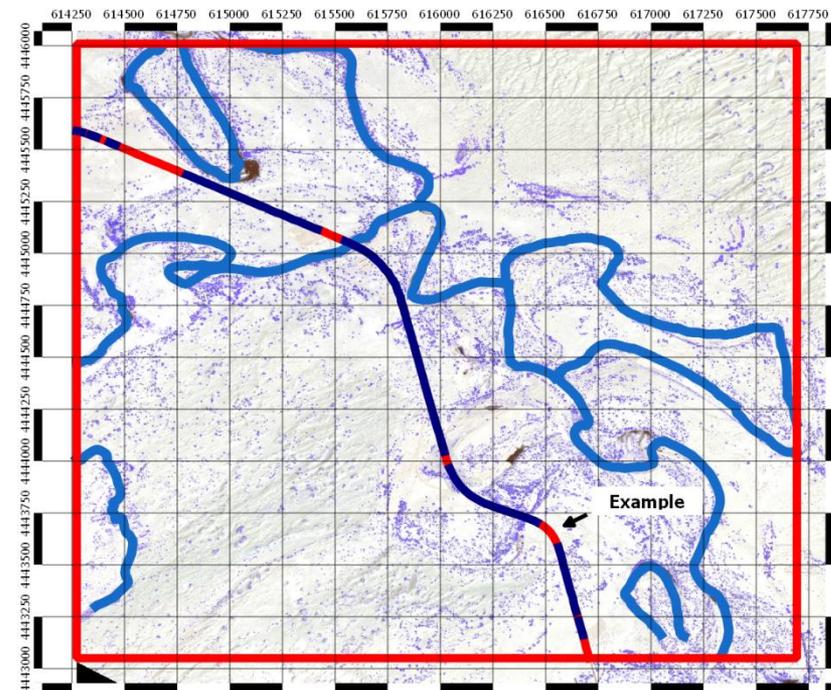
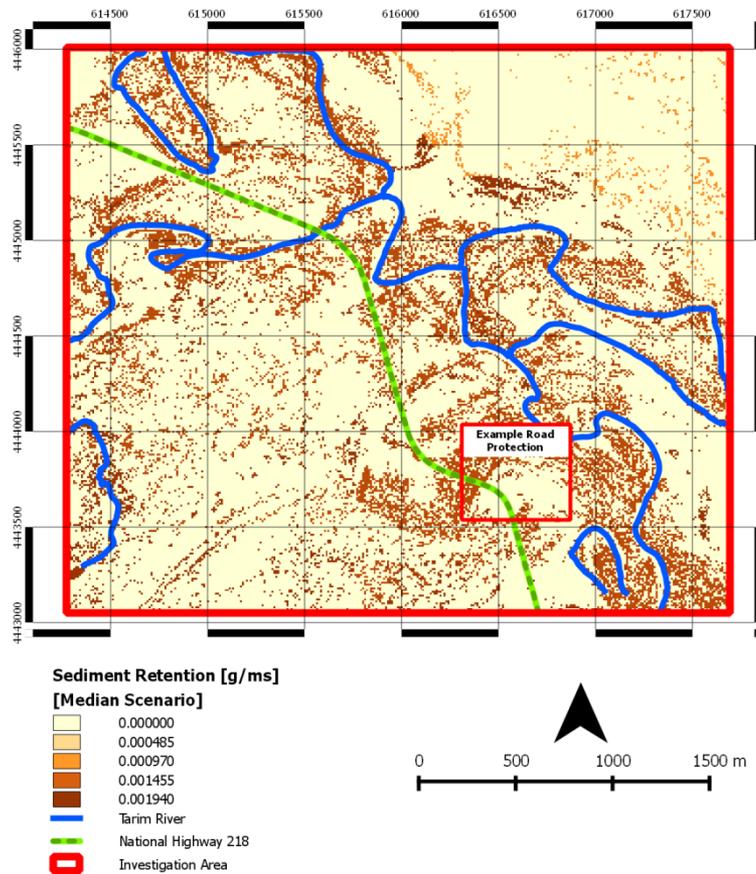
Leaves:  
Tea, medicine  
Effect against high blood pressure



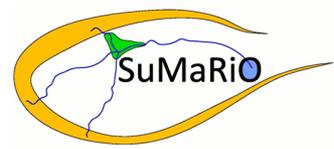
Seeds:  
Local traditional medicine



Source: Thevs et al., 2008



- Within the Argan area 73% of the road are protected by vegetation.
- Avoided costs for checkboards to be build: 60 000 €!



# Ecosystem services for dust weather & urban heat stress mitigation



Petra Döll, Univ. Frankfurt

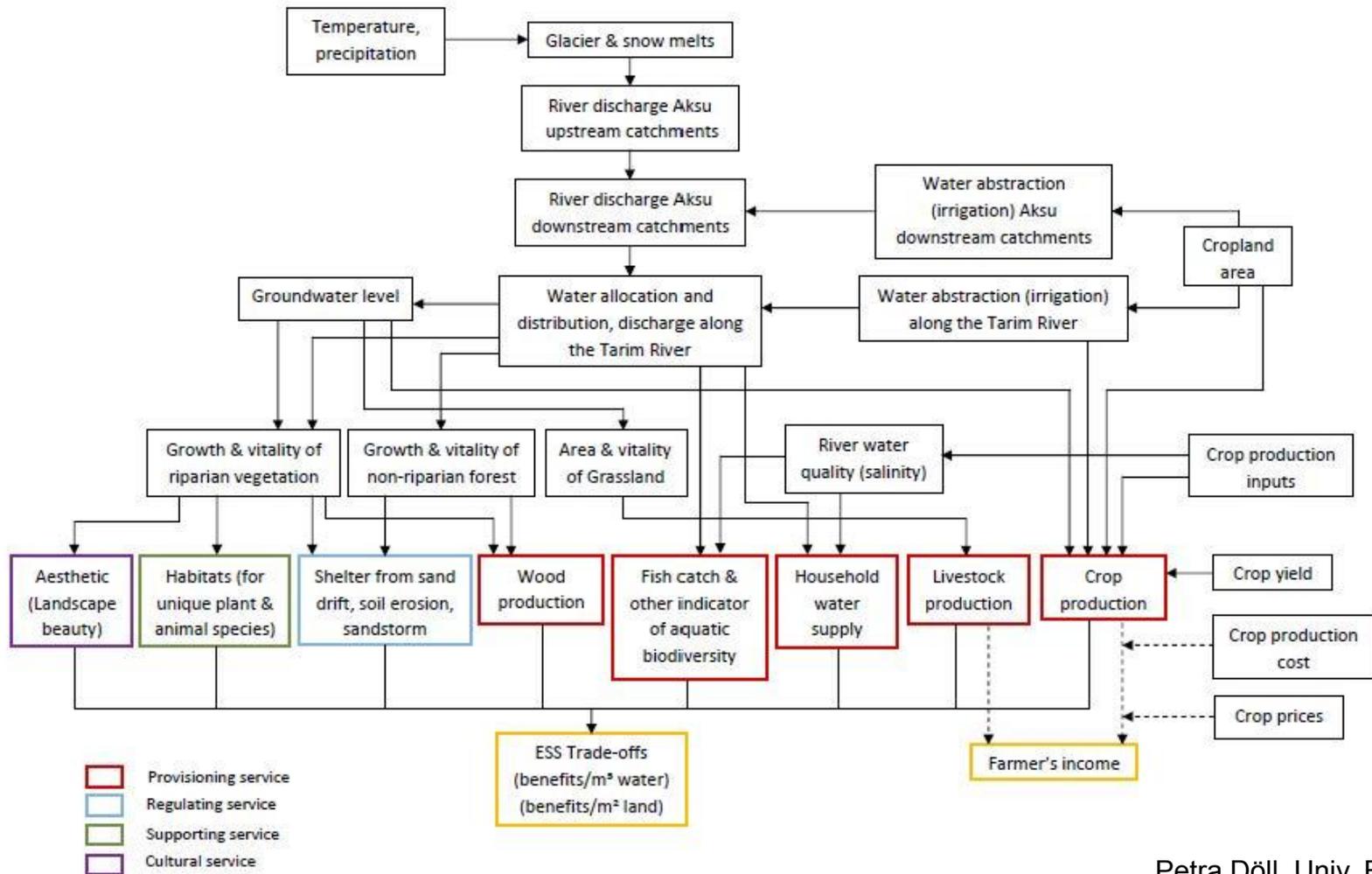


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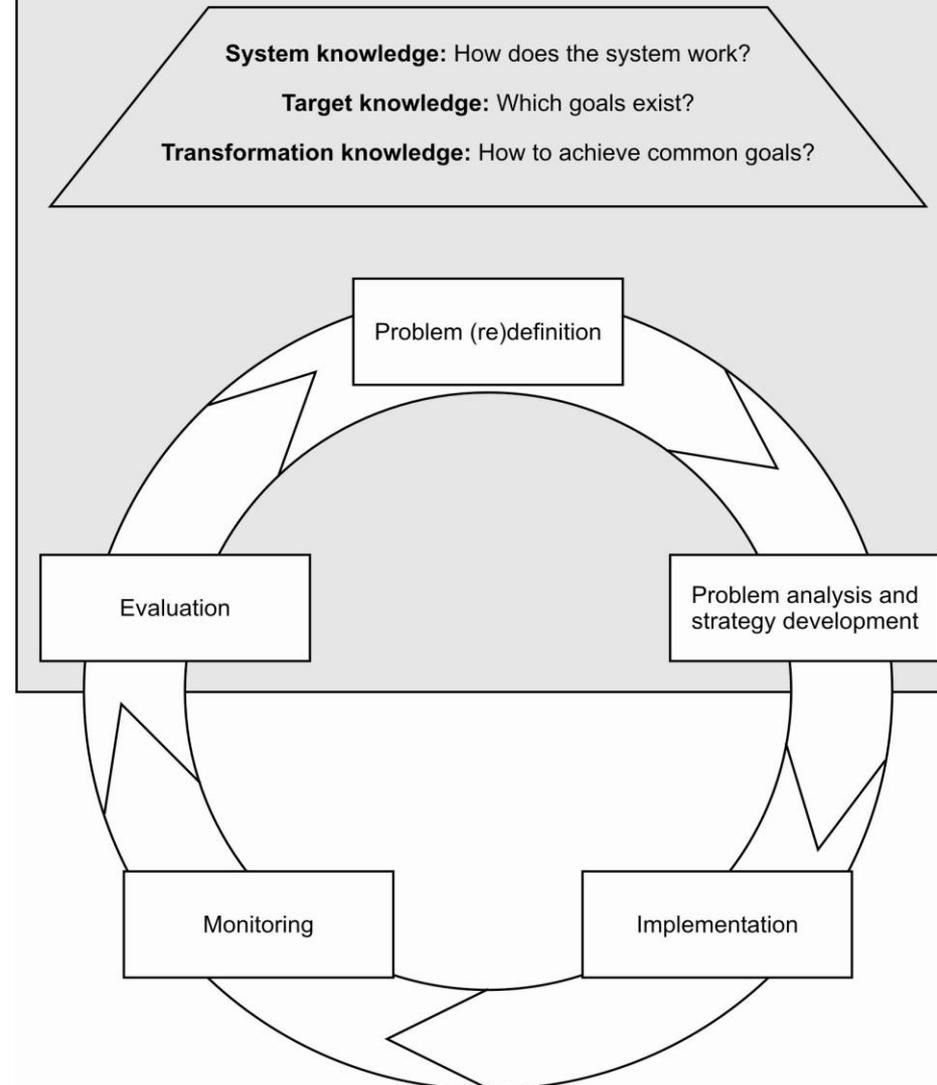
**WB 5: Multi-Level Socio-Economic Assessment of Ecosystem Services and Implementation Tools**

- WP 5.1: Multi-level economic system assessment
- WP 5.2: Transdisciplinary assessment of ESS for urban areas regarding dust and heat stress
- WP 5.3: Actor-based decision support for land and water management

- Modeling of actor perceptions of problem field based on interviews
- Participatory modeling
  - Actor-based modeling
  - Bayesian networks
- Participatory scenario development
- Strategy development

(Siew and Döll, 2012)

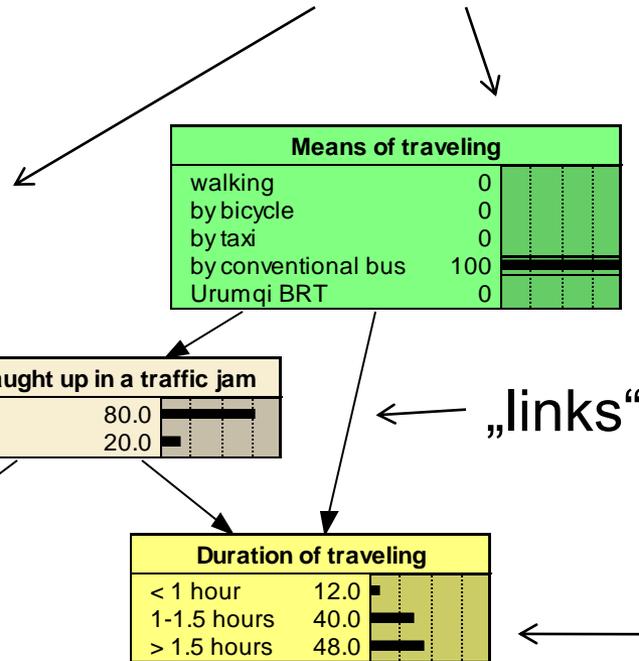
**Stakeholders ∞ Scientists**  
 Joint knowledge generation and integration



- Very limited access to required (quantitative) data
- Access to experts in (urban) ecology, forestry science and urban greening
- Bayesian Network modeling is appropriate modeling approach as
  - **qualitative expert knowledge** and **quantitative data** can be combined,
  - uncertainty of knowledge is represented explicitly.

BNs are probabilistic causal networks consisting of nodes, states & links

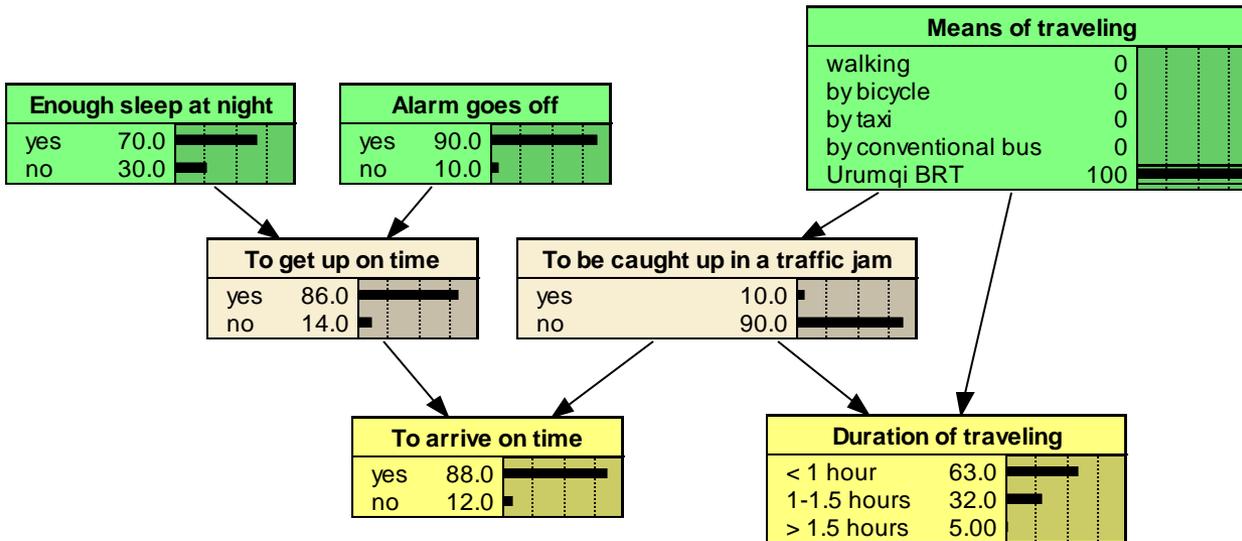
„nodes“



Petra Döll, Univ. Frankfurt

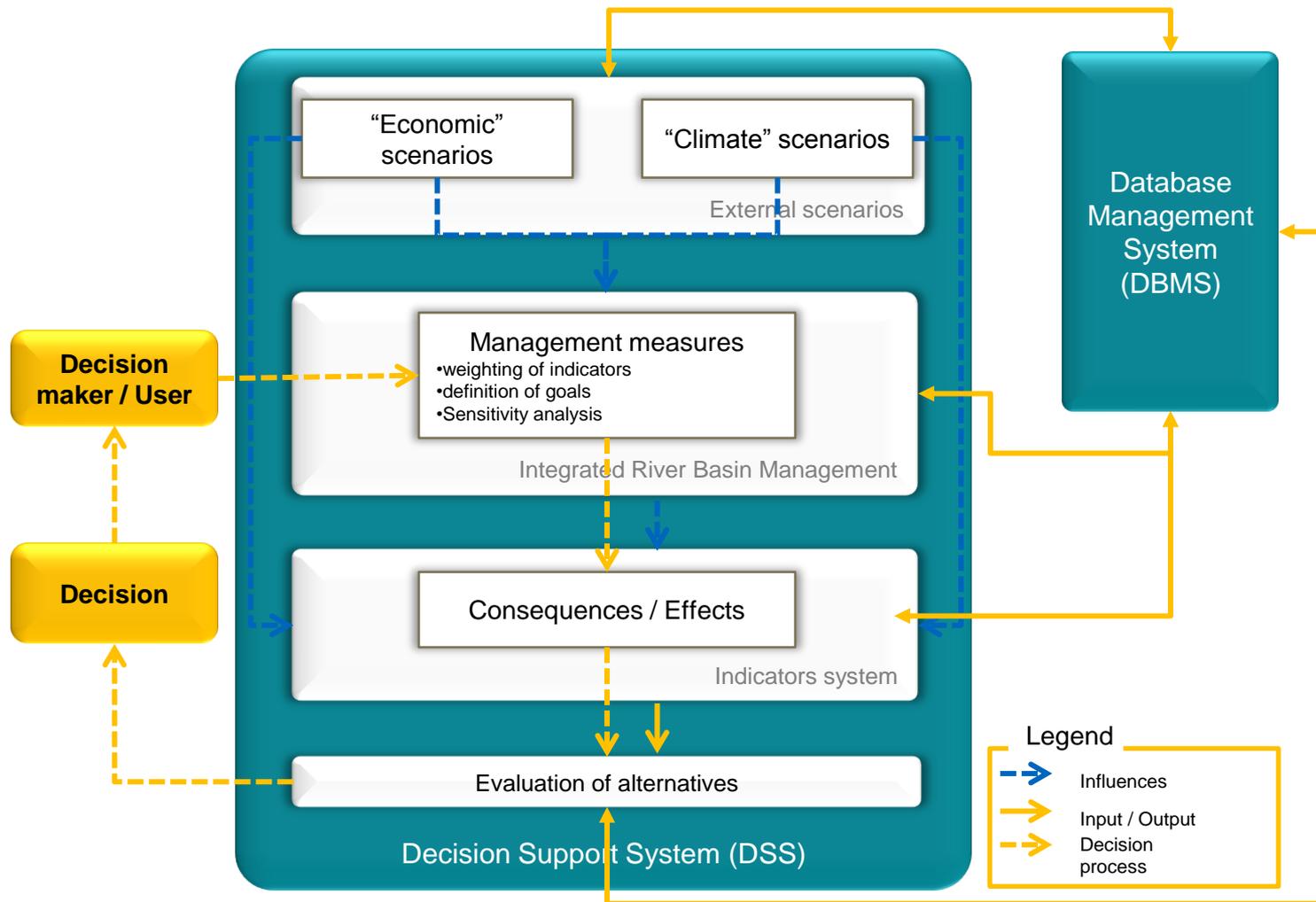
## Bayesian Network „To arrive on time in the morning“

BNs are causal networks consisting of nodes, states & links



Petra Döll, Univ. Frankfurt

## Bayesian Network „To arrive on time in the morning“



Andreas Brieden, UniBw Munich

SuMaRio DSS

Menu Languages



**Navigation**

- Define weights and goals
  - Alar - Xinqiman
  - Xinqiman - Yingbazar
  - Yingbazar - Qiala
  - Qiala - Taitema Lake

**Define weights and goals**

Alar - Xinqiman

Ecosystem Service Indicators | Ecosystem Services | Ecosystems

Ecosystem	Ecosystem Service	ESS indicator	Individual weight	Goal	Bound
Agriculture	Supporting Services	Soil salinity	1,00	min	
		<b>Sum</b>	1,00		
Provisioning Service	Cotton production	Cotton production	1,00	more than	0,0000 [t]
		Fruit production	0,00	more than	0,0000 [t]
		Production of other crops	0,00	max	
		Farmers income	0,00	max	
		<b>Sum</b>	1,00		
Riparian Forest	Provisioning Service	Wood production	1,00	max	
		Reed production	0,00	max	
		Scrub production	0,00	max	
		<b>Sum</b>	1,00		

Back Calculate

Andreas Brieden, UniBw Munich

SuMaRio决策支持系统

菜单 语言



**导航**

- 确定权重和目标
  - 阿拉尔-新奇满
  - 新奇满-英巴扎
  - 英巴扎-恰拉
  - 恰拉-台特玛湖

**确定权重和目标**  
阿拉尔-新奇满

生态系统服务指标    生态系统服务    生态系统

生态系统	生态系统服务	生态系统服务指标	个人	目标	绑定
农业	支撑服务	农业中土壤益分	1,00		最低
		<b>总和</b>	1,00		
	配置服务	棉花生产	1,00	多于	0,0000
水果生产		0,00	多于	0,0000	[吨]
生产其他作物		0,00		最大	
农民收入		0,00		最大	
<b>总和</b>		1,00			
河岸森林	配置服务	木材生产	1,00		最大
		芦苇生产	0,00		最大
		灌木生产	0,00		最大
		<b>总和</b>	1,00		

返回    计算

Andreas Brieden, UniBw Munich

- Finalizing DSS for serving different stakeholders (e.g. climate, hydrology, agriculture, forest, ecology, economy)
- Implementation of DSS SuMaRiO
- Serving stakeholder needs (e.g. Sino-German workshops and conferences)
- Education and capacity building (e.g. technical training, summer schools, PhD and MSc students)
- Scientific contribution for the 13<sup>th</sup> Five-Years-Plan (national, provincial, regional and basin level)
- Inviting responsible officials of the Five-Year-Plan to workshops and summer schools (communication)



**Thank you for your attention!**



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